

Transport Accident Investigation Commission

Final report Tuhinga whakamutunga

Aviation inquiry AO-2022-005 Boeing 737-484SF ZK-TLL Incorrect fuel configuration Sydney to Auckland 7 June 2022

November 2024



The Transport Accident Investigation Commission Te Kōmihana Tirotiro Aituā Waka

No repeat accidents - ever!

"The principal purpose of the Commission shall be to determine the circumstances and causes of accidents and incidents with a view to avoiding similar occurrences in the future, rather than to ascribe blame to any person."

Transport Accident Investigation Commission Act 1990, s4 Purpose

The Transport Accident Investigation Commission is an independent Crown entity and standing commission of inquiry. We investigate selected maritime, aviation and rail accidents and incidents that occur in New Zealand or involve New Zealand-registered aircraft or vessels.

Our investigations are for the purpose of avoiding similar accidents and incidents in the future. We determine and analyse contributing factors, explain circumstances and causes, identify safety issues, and make recommendations to improve safety. Our findings cannot be used to pursue criminal, civil, or regulatory action.

At the end of every inquiry, we share all relevant knowledge in a final report. We use our information and insight to influence others in the transport sector to improve safety, nationally and internationally.

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Notes about Commission reports Kōrero tāpiri ki ngā pūrongo o te Kōmihana

Citations and referencing

The citations section of this report lists public documents. Documents unavailable to the public (that is, not discoverable under the Official Information Act 1982) are referenced in footnotes. Information derived from interviews during the Commission's inquiry into the occurrence is used without attribution.

Photographs, diagrams, pictures

The Commission owns the photographs, diagrams and pictures in this report unless otherwise specified.

Verbal probability expressions

For clarity, the Commission uses standardised terminology where possible.

One example of this standardisation is the terminology used to describe the degree of probability (or likelihood) that an event happened, or a condition existed in support of a hypothesis. The Commission has adopted this terminology from the Intergovernmental Panel on Climate Change and Australian Transport Safety Bureau models. The Commission chose these models because of their simplicity, usability, and international use. The Commission considers these models reflect its functions. These functions include making findings and issuing recommendations based on a wide range of evidence, whether or not that evidence would be admissible in a court of law.

Terminology	Likelihood	Equivalent terms
Virtually certain	> 99% probability of occurrence	Almost certain
Very likely	> 90% probability	Highly likely, very probable
Likely	> 66% probability	Probable
About as likely as not	33% to 66% probability	More or less likely
Unlikely	< 33% probability	Improbable
Very unlikely	< 10% probability	Highly unlikely
Exceptionally unlikely	< 1% probability	



Figure 1: B737-42C ZK-TLM (similar model to ZK-TLL) (Credit: J. Williams via Jetphotos.com)



Figure 2: Location of incident

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1 Executive summary Tuhinga whakarāpopoto

What happened

- 1.1. On 7 June 2022, Boeing 737 registration ZK-TLL, operated by Airwork Flight Operations Limited (the operator) as flight number AWK2, landed at Auckland Airport after a night flight from Sydney. It had been a dedicated freight flight with two crew on board.
- 1.2. After landing and while taxiing the plane to stand, the flight crew noticed that the centre fuel tank still had 4000 kilograms (kg) of fuel, but there was minimal fuel left in the two main tanks that were feeding the engines. They discovered that the centre fuel pumps had remained off for the entire flight when they should have been selected on during the engine start procedure in Sydney.

Why it happened

- 1.3. The Transport Accident Investigation Commission (Commission) found that the flight crew omitted to turn on the centre fuel pumps when preparing the aircraft for the flight.
- 1.4. The distractions of a last-minute change to the departure runway and an impending airport curfew **very likely** contributed to the omission.
- 1.5. The Commission found that the flight had departed Sydney with a flight plan that nominated alternate aerodromes that were not compliant with regulatory or company flight-planning requirements. The operator's operational staff had not provided weather updates and flight planning for the flight as prescribed in their manuals.

What we can learn

- 1.6. Pilots need to ensure that procedures and checklists involving critical aircraft systems are completed with rigour and be aware of potential distractions.
- 1.7. Operational staff need to follow the procedures detailed in their manuals to provide support to flight crew for extended-range flights.
- 1.8. Pilots should ensure that flight plans for their flights are compliant with operator and regulatory procedures for alternate aerodrome planning.

Who may benefit

1.9. Pilots, operators and operations staff will benefit from reading this report.

2 Factual information Pārongo pono

Narrative

- 2.1. On the evening of 6 June 2022, the operator of Boeing 737 (B737), registration ZK-TLL, conducted a scheduled two-sector¹ night freight flight from Auckland to Sydney and Sydney to Auckland. The first sector, Auckland to Sydney, had a callsign (flight number) of AWK1 and the return sector, Sydney to Auckland, had a callsign of AWK2.
- 2.2. The return Sydney to Auckland flight landed at 0340² on 7 June 2022. After landing the flight crew noticed that there was substantial fuel remaining in the center³ tank, with minimal fuel in the main tanks, and that the center fuel pumps were switched off.

Background

- 2.3. The flight crew consisted of a training captain and first officer. The first officer was new to the company and was undertaking planned support line training⁴ on these two sectors following a recent unsuccessful check to line flight.⁵
- 2.4. The operator's Operations Control Centre (OCC)⁶ dispatcher generated a weather briefing at 1720 and flight plans for both sectors at 1747. The flight plan for the return sector Sydney to Auckland nominated Palmerston North as the alternate aerodrome (alternate)⁷ for Auckland, and Sydney and Auckland were listed as the two Extended Diversion Time Operation (EDTO)⁸ alternates. This was based on aviation weather forecasts issued by the Meteorological Service of New Zealand Limited (MetService)⁹ at 1709 for Auckland and 1112 for Palmerston North.
- 2.5. The flight crew reported to the operator's Auckland base at 1845 and conducted preflight weather briefings and flight planning for both sectors. Flight AWK1 departed six minutes before scheduled at 1954 and was airborne at 2008. The sector to Sydney was uneventful, but the flight arrived 34 minutes late at 0004 on 7 June due to strong headwinds across the Tasman Sea.

Sydney to Auckland sector

- 2.6. Sydney airport has a government-mandated curfew commencing at 0100.
- 2.7. On arrival at Sydney the flight crew were advised by an email from the OCC that fog was forecast at Auckland for the return sector, but they were not provided with

¹ A sector is one flight from a departure point to a destination point.

² All times in this report are in New Zealand Standard Time (NZST), which is Coordinated Universal Time (UTC) – the primary time standard used globally to regulate clocks and time +12 hours, and are in the 24-hour format. ³ Boeing (the manufacturer) documentation spells center in this manner, and for consistency it has been used throughout this report when referring to fuel tanks and fuel pumps.

⁴ Line training is conducted for pilots new to aircraft types on operational flights by qualified training captains. The objective is for the pilots to achieve a level of competence to undertake line operations unsupervised. In this instance the pilot was rostered additional sectors to achieve the required standard.

⁵ A flight undertaken by a trainee with a training captain at the completion of line training, to assess whether the trainee meets the standard required to fly unrestricted with the operator.

⁶ A description of the OCC and its functions can be found in paragraph 2.38 of this report.

⁷ An aerodrome to which an aircraft may proceed when it becomes either impossible or inadvisable to proceed to or land at the aerodrome of intended landing; Civil Aviation Rule (CAR) Part 1.

⁸ 'Extended Diversion Time Operation' is explained in paragraph 2.35.

⁹ See paragraph 2.26 for a description of the MetService.

updated forecasts for Auckland or any alternates. The flight crew responded to the email by requesting that an extra 1000 kg of fuel be loaded for the return sector.

- 2.8. When the flight crew was ready to depart Sydney as flight AWK2, they requested pushback.¹⁰ They were informed by air traffic control (ATC) that due to noise-abatement procedures they would have to depart from runway 16R rather than the planned runway 16L, runway 16R being less noise sensitive. Pushback was delayed while the flight crew made the necessary changes to the flight management computer and re-briefed the departure.
- 2.9. When these tasks were complete, the flight crew recommenced preparation for pushback. It was at this stage that the center fuel pumps should have been turned on as part of the operator's Boeing 737-300/-400 Flight Crew Operations Manual (FCOM)¹¹ Before start procedure.
- 2.10. The flight was pushed back at 0051 and airborne at 0102. The return sector was relatively short due to strong tailwinds, with a flight time of two hours, 38 minutes against a scheduled time of three hours, 15 minutes.
- 2.11. The flight landed in Auckland at 0340. After landing the flight crew noticed that there was a substantial amount of fuel still in the center tank, with minimal fuel in the main tanks, and that the center tank pumps were in the off position. The first officer recalled seeing an amber 'fuel low pressure' caution light flickering on while taxiing to the parking position and thinking it was probably associated with a main tank fuel pump.
- 2.12. The captain recalled that the main tank fuel contents after landing were 750 kg in the left main tank and 950 kg in the right main tank.¹² The Commission could not verify these figures as the captain requested that engineers transfer the fuel from the center tank to the main tanks in preparation for the aircraft's next flight. The captain informed the duty line engineer and the maintenance watch duty engineer after shutdown that the aircraft had landed with 4000 kg in the center tank. The fuel log for the flight recorded total fuel on shutdown as 4640 kg.
- 2.13. On 8 June 2022 the operator contacted Boeing (the manufacturer) to ask if a structural check of the aircraft was required due to its landing with this amount of fuel in the center tank. The manufacturer later responded that no check was required.
- 2.14. The operator notified the Civil Aviation Authority (CAA) and the Australian Transport Safety Bureau (ATSB) of the occurrence on 9 June. The ATSB notified the Commission on 14 June. The delay between occurrence and notification meant the Commission was unable to access the cockpit voice recorder or the flight data recorder for the aircraft.

Personnel information

2.15. The captain held a New Zealand Airline Transport Pilot Licence (Aeroplane) and a current Class One medical certificate. Their flying experience was 29,000 hours in

¹⁰ The coordinated pushing back of an aeroplane, normally using a ground vehicle, that has parked nose-in to a gate. Engine start will often occur during a pushback.

¹¹ FCOM lays out the procedures and checklists for every phase of flight.

¹² This flight was operated under EDTO rules and therefore had the auxiliary power unit (APU) running for the EDTO portion of the flight. The APU draws fuel from the left main tank and therefore a small imbalance in fuel after landing would have been expected.

total, with 10,000 hours on B737, of which 4500 had been with the operator. They also held a flight instructor rating and CAA Flight Examiner Approval.¹³ The captain was nominated by the operator as the senior person¹⁴ for competency assessment.

- 2.16. The first officer held a New Zealand Airline Transport Pilot Licence (Aeroplane) and a current Class One medical certificate. Their flying experience was 4500 hours total, with 700 hours on another variant of B737. They were under training as a new first officer with the operator, having had previous airline experience but two years away from flying due to COVID-19 restrictions.
- 2.17. The flight crew reported for work at 1845 and had an active duty¹⁵ period of nine hours 40 minutes. Both pilots had been on rostered two days off on the days prior to the incident. The captain had completed three duties in the seven days preceding the incident and the first officer had completed two duties.
- 2.18. Both pilots reported no health issues on the day of this flight. The first officer stated that they had been tired and having difficulty adapting their sleep patterns to night operations.
- 2.19. The two pilots had flown together five days before the incident flight. That flight had been the unsuccessful check to line flight¹⁶ for the first officer. Both pilots commented during their interviews that this had been discussed before the incident flight and they had agreed that the check flight outcome was correct and they were positive in moving forward together.

Aircraft information

- 2.20. The aircraft was a Boeing 737-484S with registration ZK-TLL and serial number 25362, operated by Airwork Flight Operations Limited. It had been manufactured in October 1991, converted to a freight aircraft in August 2016 and registered by the operator in the same month.
- 2.21. The B737-400 aircraft's fuel system consists of three fuel tanks: a main tank in each wing and a center tank to carry additional fuel for long-range flights (*see* Figure 3).

¹³ Airlines nominate individuals who the CAA then assess to act as flight examiners and conduct pilot competency checks on behalf of the CAA for the renewal of pilots' qualifications.

¹⁴ The Operations Manual Part A Section 1.1 list the individuals who have been nominated by the operator as Senior persons for specific designated roles, and these must be individually approved by the CAA.

¹⁵ The Operations Manual Part A 10.4.1 defines Active Duty as 'The period of time commencing when a flight crew member reports to the departure airfield and ceases when post-flight responsibilities are complete'.

¹⁶ Check to line flight explained in footnote 5.



Figure 3: Fuel tanks B737-400 Credit: (ATSB report AO-2007-036, p. 5)

- 2.22. The operator's shorter flights from Auckland to Palmerston North or Christchurch would only have fuel in the main tanks. Flights from Auckland to Sydney or Melbourne would require additional fuel and therefore the use of center tanks.
- 2.23. The manufacturer recommended that, when refuelling an aircraft, the fuel be distributed equally to the main tanks until they were full, and that any additional fuel be loaded in the center tank. The fuel-delivery system was arranged to draw fuel from the center tank before the main tanks. Each tank was fitted with check valves that regulated the output/flow pressure from the fuel pumps. The center tank check valves opened at a lower pressure than the main tanks' check valves.
- 2.24. For this process to work as designed, all fuel pumps associated with tanks with fuel loaded should be turned on as part of the FCOM *Before start procedure*. It is desirable for aircraft structural reasons to use the center tank fuel before the main tanks' fuel, as having fuel in the center tank with no fuel in the main tanks increases the load forces on the wings.
- 2.25. The FCOM states that if the center tank fuel quantity exceeds 453 kg,¹⁷ the LEFT and RIGHT CENTER FUEL PUMPS should be switched on in the *Before start procedure*. The fuel log recorded that the fuel load prior to departure for the incident flight was 4520 kg in each main tank and 3980 kg in the center tank, totalling 13,020 kg.

Meteorological information

2.26. The CAA is the International Civil Aviation Organization's (ICAO's) meteorological authority for New Zealand and is responsible for the provision of meteorological services.¹⁸ Three providers are certified to supply various categories of meteorological

¹⁷ This equates to 1000 pounds (aircraft manufactured in the United States where imperial measures in use) and is stipulated by the manufacturer as a sufficient amount of fuel to minimise fumes in the tank and cover the pumps. ¹⁸ AIPNZ GEN 3.5, p. 1 (https://www.aip.net.nz/assets/AIP/General-GEN/3-SERVICES/GEN 3.5.pdf)

service, and of these MetService¹⁹ has an exclusive licence to carry out the operational requirements prescribed in ICAO Annex 3, Meteorological Service for International Air Navigation.

- 2.27. Aerodrome forecasts are issued in coded form. For international aerodromes they are issued four times a day and provide forecasts for 30-hour periods. For domestic aerodromes the forecasts are issued twice daily with varying validity periods.²⁰
- 2.28. The aerodrome forecast for Auckland that was issued to the flight crew at the preflight briefing was as follows:

NZAA 060509Z 0606/0706 03005KT 9999 -SHRA BKN030 PROB30 0615/0620 0500FG.

2.29. A breakdown of this forecast is:

NZAA	Auckland Aerodrome
060509Z	the forecast was issued at 0509 UTC on the 6th of the month
0606/0706	the forecast was valid from 0600 UTC on the 6th until 0600 UTC on the 7th of the month
03005KT	surface wind 030 degrees true, 5 knots [9.26 kilometres per hour]
9999 ²¹	prevailing visibility in excess of 10 kilometres
SHRA	weather – rain showers
BKN030	broken cloud with a base of 3000 feet [914 metres (m)] above the Aerodrome
PROB30	from 1500 UTC until 2000 UTC there is a
0615/0620	30 per cent
0500FG	chance of fog, with visibility reducing to 500 m ^{22.}

- 2.30. The weather forecast for Palmerston North that the flight crew used at their initial briefing and valid for the Sydney to Auckland sector stated a cloud base and visibility suitable for use as an alternate.
- 2.31. Updated forecasts were issued for Christchurch at 2320 and Auckland and Palmerston North at 2338. These showed reduced visibility in fog and a cloud base at 300 feet (ft) for Auckland, and visibility of 2000 m at Christchurch and Palmerston North.

Organisational information

2.32. The operator was a New Zealand CAA Air Operator Certificate²³ holder based in Auckland and was part of Airwork Holdings Limited. Its business included commercial freight, heavy aircraft maintenance and international aircraft leasing.

¹⁹ ibid, p. 2

²⁰ ibid, p. 19

²¹ By convention, visibility is measured in metres or kilometres, while cloud base is measured in feet.

²² The AWK2 scheduled time of landing at Auckland was 1555 UTC. The operator's aircraft could not commence an approach to an airport with reported visibility less than 800 metres.

²³ Airwork Flight Operations holds a New Zealand CAA Air Operator Certificate under CAR Part 119.

- 2.33. At the time of the incident the operator owned a fleet of 14 B737 aircraft, with seven aeroplanes in New Zealand (four based in Auckland and three in Christchurch), six aeroplanes at bases across Australia and one spare aeroplane. The New Zealand operation was predominantly night freight for three major clients.
- 2.34. New models of the B737 are equipped with Aircraft Communications, Addressing and Reporting Systems (ACARS).²⁴ However, to ensure consistency throughout the operator's fleet, any aircraft with ACARS fitted had the unit removed before entering service. Pilots were therefore reliant on radio transmissions and satellite phones to send and receive information.

Extended Diversion Time Operations

- 2.35. The operator's flights across the Tasman Sea were based on EDTO. Historically, operators of twin-engine aircraft had to plan routes that kept their aircraft flight paths within 60 minutes' flight time of aerodromes where they could land if they incurred serious malfunctions. As aircraft engines became more reliable, rules were developed to allow operations beyond the 60-minute limit and therefore allow more direct flight routing. In New Zealand this was covered by Civil Aviation Rules (CAR) Part 121, Subpart N EDTO Authorisation and Requirements.
- 2.36. The EDTO rules in CAR Part 121 specify rigorous requirements for maintenance, aircraft checks, systems' redundancy and allowable defects. The rules also apply more restrictive weather limits for an aerodrome to be suitable²⁵ as an en-route diversion alternate when planning the EDTO segment of a flight.
- 2.37. Maintaining and providing up-to-date weather and operational information for aircraft is also part of the EDTO rule requirements. Each operator is required to detail how it will implement this in its flight operations exposition²⁶ to gain EDTO approval from the CAA (the regulator). The operator fulfilled this requirement through its Operations Manual and the OCC Manual.
- 2.38. The operator's Operations Manual stipulated that the OCC would provide pilots engaged in EDTO operations with flight planning and flight following,²⁷ which included disseminating relevant weather and operational information. The manual also stated the experience and skills required of OCC staff. The OCC Manual gave more detailed information on the running of the OCC.
- 2.39. The OCC was based in Auckland and managed the New Zealand and Australian flight operations. OCC staff provided flight planning and operational support to flight crew

weather minimum for the required type of use has been met; Airwork OCC Manual, 4.2 and 4.3.

²⁷ Flight following is carried out by OCC staff as described in the operator's OCC Manual. It includes monitoring the location of a flight at all times and requires OCC staff to monitor, evaluate and distribute any important information to the flight crew, such as changes in weather at the destination or en-route alternate, with a focus on the EDTO portion of a flight.

²⁴ ACARS is a digital datalink system used in most airline aircraft for the transmission of short messages, and allows communication between airline and aircraft and for flight crew to obtain printouts of weather forecasts. ²⁵ An adequate aerodrome is one where the aircraft landing distance performance requirements at the expected landing weight can be met and appropriate aerodrome rescue and fire-fighting services are available, and there is at least one authorised instrument approach procedure. An adequate aerodrome becomes suitable when the

²⁶ An exposition is a suite of manuals containing information about an operator's general policies, duties, operational control policy and procedures, and the responsibilities of personnel. It is the main way of showing that the management and control systems required under the CARs are in place; (https://oag.parliament.nz/2010/caa/glossary.htm).

and operated on a 24-hour-a-day basis, with usually two staff covering night operations.

Flight planning

- 2.40. Flight plans are required for all aircraft operating under Instrument Flight Rules, which include commercial operations.²⁸ A flight plan includes the planned flight route and the nominated alternate, if required, and is submitted to the relevant air traffic service provider.
- 2.41. For an EDTO flight, the EDTO alternates are also nominated on the flight plan, and the halfway point for the EDTO portion of the flight between these two alternates is stated. If an engine failure or decompression were to occur in the first segment of a flight, the flight would divert to the first EDTO alternate; after the halfway point the flight would continue to the second alternate. An important part of the flight plan is the calculation of the amount of fuel required for the flight should a failure and diversion be required at the most critical, or halfway, point. This is depicted in Figure 6.
- 2.42. To determine if an aerodrome is suitable to be nominated as an alternate, the weather forecast, ie the cloud base, visibility and wind, must be assessed. The other consideration is the types of navigation aids, and therefore instrument approaches that can be flown at the nominated alternate (CAR Part 121.977, shown in Appendix 3).
- 2.43. The weather minima²⁹ used at the planning stage are more restrictive than the aircraft operating minima.³⁰ Using Auckland Airport as an example, there are a range of published instrument approaches that can be used for the two runways (05R and 23L). The alternate planning minima is the minimum acceptable weather for that airport to be nominated as an alternate and is predicated by the types of approach that are available. For Auckland, the cloud base must be forecast as a minimum of 600 ft above the aerodrome, and visibility of 3000 m or better. For comparison between the planning and operational stages, the limits for an instrument landing system approach to Auckland's runway 23L³¹ requires a minimum cloud base of 200 ft and visibility of 800 m.
- 2.44. The published approaches to Palmerston North Airport are based on navigational aids that do not provide the same accuracy as an of the instrument landing system approach, and are deemed as non-precision approaches.³² The weather forecast must be better than the Auckland example, with a minimum cloud base of 800 ft and visibility of 4000 m.
- 2.45. The minima figures quoted above for Auckland and Palmerston North were published in the AIPNZ³³ and were determined by applying CAR Parts 91.405 and 121.157 for alternate aerodromes and CAR Parts 121.969 and 121.973 for EDTO alternate aerodromes.

²⁸ CAR 91.407.

²⁹ The specified limits in visibility and cloud base used to assess against forecasted visibility and cloud base.

³⁰ Specified limits used to assess cloud base and visibility against reported actual cloud base and visibility when conducting an approach.

³¹ An instrument landing approach to runway 23L has the lowest minima requirements for this runway. It is termed a precision approach and provides both lateral and vertical guidance.

 ³² A non-precision instrument approach provides lateral guidance only; no vertical guidance.
 ³³ AIPNZ Table ENR 1.5-7, p. 45; <u>https://www.aip.net.nz/assets/AIP/En-route-ENR/1-GENERAL-RULES-AND-PROCEDURES/ENR 1.05.pdf</u>

Safety management system

2.46. An applicant for a grant of an airline air operator certificate must establish, implement and maintain a system for safety management.³⁴ A safety management system (SMS) describes a systematic approach to managing safety, including the necessary organisational structures, accountabilities, responsibilities, policies and procedures.³⁵ CAR Part 100.3 (*see* Appendix 3) outlines the requirements of an SMS, which include a process for risk management that identifies hazards to aviation safety, and safety assurance measures that ensure hazards, incidents and accidents are internally reported and actions are taken to prevent their recurrence.

Other incidents

- 2.47. In 2007 the ATSB investigated a fuel-related event involving another operator's Boeing 737-400 (ATSB report AO-2007-036). The incident flight was from Perth to Sydney, and in the cruise the master caution light and fuel low-pressure light³⁶ illuminated, indicating low output pressure in the aircraft's main fuel pumps. The flight crew identified that the center fuel pump switches remained in the OFF position and immediately selected them to the ON position.
- 2.48. Relevant findings from the report covered the selection of fuel pump switches, the effective monitoring of switch selection, how switch selection is confirmed when completing checklists and the conduct of en-route fuel checks. Safety actions by the operator included a change to two checklists and procedures that required responses from both crew to some checklist items, and a reminder to flight crew to ensure that regular fuel-system configuration and consumption checks are performed during flight.
- 2.49. The ATSB report referred to a search for similar events in the US National Aeronautics and Space Administration (NASA) Aviation Safety Reporting System.³⁷ The search revealed six incidents in the period from 1994 to 2007 involving flight crew on B737 aircraft omitting to select the center tank fuel pumps 'on' during departure preparation and then not detecting this incorrect action during the *Before start* checklist.
- 2.50. An updated search of this database was conducted by the Commission. It noted nine reported events of a similar nature in B737 aircraft in the period 1994–2023. The most recent report was from August 2006.

³⁶ A light on an instrument panel designed to gain a pilot's attention and direct them to an area of concern.

³⁴ CAR 119.79

³⁵ ICAO Doc 9859 Safety Management Manual, Fourth Edition, 2018.

³⁷ The Aviation Safety Reporting System database is a collection of voluntarily submitted aviation safety incident/situation reports from pilots, controllers and others in the US aviation community.

3 Analysis Tātaritanga

Introduction

- 3.1. The standard procedure for B737 aircraft is to use fuel in the center tank before fuel in the two main tanks. Flight AWK2 flew from Sydney to Auckland and landed before the flight crew realised that fuel from the center tank had not been used.
- 3.2. During the investigation of the fuel configuration event, it became clear that the operator's systems to support the planning and operation of long-distance flights were not being applied as per the procedures described in its manuals.
- 3.3. The following sections analyse the circumstances surrounding the event to identify those factors that increased the likelihood of the event occurring or increased the severity of its outcome. They also examine any safety issues that have the potential to adversely affect future operations. The first part of the analysis looks at the flight crew's omission of not turning the center fuel pumps on and later sections review the operator's operational procedures.

Center fuel pumps not turned on when required

Safety issue: The center fuel pumps were not switched on as required by the Before start procedure, and this omission was not captured by the crew completing the Before start checklist. If not detected, this omission has the potential to cause fuel starvation to the engines, increasing the risk of an accident occurring.

Checklist philosophy

- 3.4. The sequence for preparing aircraft systems to commence a flight is detailed in the FCOM (relevant sections are included at Appendix 2). The FCOM details how each system should be prepared for each phase of flight, for example which systems need to be turned on prior to engine start, and who should carry out the action.
- 3.5. One of the first officer's tasks in the *Before start procedure* was to configure the fuel panel by selecting the fuel pump switches to 'on' (*see* Appendix 2). The procedure states that if the center tank fuel quantity exceeds 453 kg, the center fuel pumps should be switched on. In this instance the first officer turned on the main fuel pumps but omitted the center fuel pumps (*see* Figure 4 below for the fuel panel layout).



Figure 4: B737-400 overhead panel with fuel panel highlighted

- 3.6. The next task was to complete a checklist to confirm the completion of the actions required by the *Before start procedure* and that the aircraft was correctly configured. The operator used the industry-wide 'challenge and response' philosophy for checklists. This is detailed in the operator's Operations Manual and discussed below (see also Appendix 2).
- 3.7. The Quick Reference Handbook (see Appendix 2) detailed who should conduct the checklist for each phase of flight; for example, the captain calls for the *Before start* checklist, the first officer reads the checklist and both pilots verify each item. On reading the Fuel checklist item, both the captain and the first officer were required to confirm the amount of fuel loaded and that the correct fuel pumps were on, before the captain responded with the fuel quantity in kilograms and *pumps on*. However, the flight crew did not identify that the fuel panel was incorrectly configured for the fuel load when completing the *Before start* checklist.
- 3.8. The *Before start* checklist called only for a check of the fuel in kilograms and that the pumps were on. The checklist did not call for the pumps to be identified by the first officer or cross-checked by the captain. However, the Operations Manual Part A 3.13.2 gives guidance on challenge and response when using checklists, while the Quick Reference Handbook Checklist Instructions Cl.1.1 states that both pilots are to 'visually verify that each item is in the needed configuration or that the step is done' (*see* Appendix 2).
- 3.9. Fuel is loaded in the center tank when long flights require a large fuel quantity. For this operator that equated to flights across the Tasman Sea. Domestic flights were sufficiently short to not require fuel in the center tanks. The first officer's previous flight had been a four-sector domestic trip, so the center tank did not have fuel in it for those sectors.
- 3.10. Both pilots' rosters for the two months before the occurrence were analysed to determine the split between domestic and Tasman flights. This showed that both pilots had operated more duties across the Tasman Sea than domestic flights, and therefore operated more often than not with fuel in the center tanks. The combination of the outbound sector of this duty requiring center tank fuel and the fact that the crew had operated more flights in the previous two months requiring

center fuel than not led the Commission to conclude that recency bias³⁸ had not influenced this occurrence.

- 3.11. As mentioned in paragraph 2.47, the ATSB investigated a similar occurrence in 2007 (ATSB report AO-2007-036). In the resulting report it referred to a study conducted by NASA (Degani, 1990) following a series of accidents involving the improper use of checklists, checklist error and causes of checklist error. The study identified limitations in flight crew interactions with checklists, and among other recommendations suggested 'the use of hands and fingers to touch appropriate controls, switches and displays while conducting the checklist' as an effective enhancement for verifying the completion of checklist items. This suggested enhancement formed part of a safety recommendation in the ATSB report referred to in paragraph 2.47, and the operator in that incident responded that they would discuss the matter with the OEM (original equipment manufacturer) but had no intention of adopting the procedure at that time.
- 3.12. Following the incident the captain reported that they had spoken to three fellow training captains employed by the operator, and that each had stated they had operated a recent flight where the center fuel pumps had not been switched on when they were required. Additionally, a notice to pilots was sent by the operator's manager flight operations, implementing a change to the *Before start* checklist by adding '4/6 pumps on' to replace '____ pumps on'. The amended checklist had a specified response of '4/6 pumps on' rather than a blank, and thus introduced a clear question with the two alternative responses as to whether four or six pumps were required to be turned on dependent on the fuel load.

Reduced turnaround time and curfew

- 3.13. The late arrival into Sydney put pressure on the flight crew to complete tasks and be ready for their departure on the return sector prior to the government-mandated curfew. They were unsuccessful in obtaining updated weather forecasts for the return sector from OCC, as discussed in paragraph 3.46.
- 3.14. The pressure was compounded when the flight crew requested pushback from ATC and were informed that there had been a change to the departure runway due to noise-abatement procedures. This interrupted the running of the *Before start procedure* and checklist as the flight crew was required to reprogramme the aircraft's flight management computer with the new departure routing and recalculate the aircraft performance for take-off. There was also pressure to complete the tasks before the airport ceased all departures due to the curfew.
- 3.15. Once the flight crew were ready, they again asked ATC for pushback. At this point the *Before start procedure* should have been completed, but the center fuel pumps were not turned on. The flight crew would have then carried out the *Before start* checklist, but they did not identify the omission. The first officer stated that the combination of the curfew approaching, fog forming in Auckland and the last-minute distraction of the runway change contributed to the omission.

³⁸ Recency bias is the tendency to weigh recent events more heavily than earlier events. For further information, see <u>https://skybrary.aero/articles/recency-bias</u>.

Flight crew experience

- 3.16. The captain was highly experienced and providing training for the first officer, who was an experienced pilot but new to this operator. The first officer was nearing the completion of their line training but had failed a line check on a previous flight with the training captain. This Auckland-Sydney-Auckland flight had been rostered for the first officer as support line training.
- 3.17. There was the potential for a large authority gradient between the crew on these sectors given that the first officer had failed their line check on the previous flight with this captain. The captain reported that the previous check flight was discussed on the outbound sector and the first officer had been open to feedback. The first officer commented that they had been ok after failing the check flight and accepted that they needed a few more sectors to polish their performance.
- 3.18. The captain was training on both sectors on this trip and therefore fulfilling their normal role as well as supervising the first officer in the completion of their tasks. This additional pressure, combined with a reduced turnaround time, the approaching curfew and the need to re-programme and replan the departure **very likely** led to the completion of the *Before start* checklist not capturing the omission.

Night duties

- 3.19. Both pilots had had two rostered days off preceding this flight. In this instance the duty period commenced at 1845, and the initial incident happened approximately mid-way through the duty. Nevertheless, two-pilot night freight operations, with their unusual operating times, have the potential to increase crew fatigue levels.
- 3.20. The captain had flown night operations with the operator for ten years and said they were well adjusted to night duties. The first officer said they had felt tired during this duty and that they had had difficulty adjusting to the sleep patterns required for night duties. They attributed the failed line check on their previous duty to their being tired and had taken one day of sick leave on the day after the line check. They had completed four duty periods in the 14 days preceding the incident flight, and all had been night duties. They had been rostered four days off prior to the incident flight.
- 3.21. Both flight crew members stated that they had not felt the need to use controlled rest³⁹ on either sector. The requirement to turn on the fuel pumps before engine start occurred mid-way through the duty and during a period of heightened workload. Reduced crew alertness levels were therefore **unlikely** to have been factors that contributed to the error.

En-route checks did not identify pumps not on

In-flight fuel check

3.22. Pilots carry out fuel checks during flights to ensure they have sufficient fuel to complete the flights as planned and that fuel leaks have not occurred. The Operations Manual⁴⁰ stated that at appropriate waypoints the remaining fuel had to be recorded and evaluated to compare actual consumption with planned consumption, and that

³⁹ Controlled rest is described in the Operations Manual Part A 10.13.4 and allows for an individual flight crew member to have a rest period of up to 30 minutes during a flight to improve levels of alertness.
⁴⁰ Operations Manual Part A 5.8.2.

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the remaining fuel had been sufficient to complete the flight with required reserves in place.

- 3.23. The focus on this sector for the flight crew was obtaining weather reports, as there was a real possibility of their being unable to land at Auckland due to reduced visibility and then a subsequent diversion to Christchurch. OCC staff should have contacted the flight crew prior to the EDTO portion of the flight to advise them of any deterioration of weather at EDTO alternates, but as discussed in paragraph 3.48 the flight crew were not contacted.
- 3.24. The flight crew attempted to obtain weather reports from VOLMET⁴¹ and by talking to other aircraft inbound to Auckland. There were two other aircraft inbound to Auckland: another of the operator's flights from Melbourne (AWK82) and B767 Tasman Cargo Airlines (TMN2)⁴² from Sydney.
- 3.25. The operator's fleet of aircraft was not equipped with ACARS, as discussed in 2.34. The operator's flight crew were therefore reliant on radio transmissions and satellite phone to send and receive information such as weather forecasts.
- 3.26. As the flight approached Auckland, the flight crew of AWK2 were aware from monitoring ATC radio traffic and weather reports received from other aircraft that visibility was fluctuating. If the visibility reported by ATC had been less than the allowable minimum for their operation, they would not have been able to commence an approach and landing⁴³ at Auckland. Over the ATC radio they heard the preceding aircraft (TMN2) fly a go-around due to the reducing visibility, and TMN2 was then sequenced to fly a second approach before AWK2. TMN2 flew a second approach and go-around and entered a holding pattern before diverting to Christchurch, whereas AWK2 was able to complete a successful approach and landing as the visibility fluctuated.
- 3.27. Commission investigators obtained a copy of the flight plan used by the flight crew. Several fuel checks were annotated. The captain recalled that they had carried out enroute fuel checks against the flight management computer and not the fuel gauges, as was their normal practice (Figure 5 shows the flight management computer located on the centre console, with fuel gauges directly above). The Operations Manual stated the actions required to carry out a fuel check but did not mention fuel distribution.
- 3.28. En-route fuel check guidance from another operator stated that a fuel check should ensure that the calculated fuel on board is correctly balanced and distributed. Had the flight crew included fuel gauges as part of the fuel checks, it is **likely** they would have noticed that fuel quantity in the center tank was the same total as when they had departed, and by implication the center pumps were off.

⁴¹ An aviation weather forecast service for selected airfields, transmitted over a five-minute interval every 30 minutes on specified high-frequency radio channels (AIP GEN 3.5, p. 30).

⁴² The ATC call sign of a flight operated by Tasman Cargo Airlines.

⁴³ The operator has CAA approval to carry out Cat I approaches. These require a reported visibility, or runway visual range (RVR), of 550 metres or greater at the touch-down end of the runway. RVR is a measure of visibility reported by ATC.



Figure 5: B737-400 center console

More recent aircraft variants

3.29. More recent variants of the B737 and subsequent aircraft, such as the Boeing 757 and Boeing 767, have included an engine indication and flight crew alerting system called EICAS. One of its functions is to monitor aircraft systems and configurations, including when fuel is loaded into a center tank. Should a flight crew not turn on the center tank pumps prior to engine start when required due to the fuel load, a caution message is generated to alert them.

Potential go-around

- 3.30. The weather in Auckland was fluctuating as AWK2 approached, and a diversion was a real possibility. One hour before AWK2 landed, the reported visibility was 275 m,⁴⁴ which was below the operator's minimum and would have prevented the flight crew commencing an approach. As detailed in paragraph 3.26, another operator's aircraft flew two go-arounds due to the weather prior to the approach flown by AWK2 and finally diverted to Christchurch.
- 3.31. At the time AWK2 commenced the approach, the visibility was still fluctuating but above the required minimum, and as a precaution the crew briefed for and conducted an autoland.⁴⁵ If AWK2 had subsequently flown a go-around from this approach due to the low visibility, fuel consumption would have increased rapidly because of the engines' high power demands. The fuel on shutdown was noted in paragraph 2.12 as 4640 kg, and with 4000 kg reported in the center tank that left 640 kg in the main tanks. The operator advised that the guidelines for B737 stated that 640 kg would be

⁴⁴ The runway visual range reported in this instance by ATC via the Automatic Terminal Information Service. ⁴⁵ A system incorporating autopilot, autothrust and radio altimeters to fully automate the landing phase with the flight crew supervising the process.

used for a go-around and further approach. Consequently, it is **very likely** that during a go-around manoeuvre the master caution light and fuel low pressure light would have activated as fuel in the main tanks neared exhaustion.

3.32. In this scenario there was no checklist or procedure that would have directed the flight crew to turn on the center pumps, as checklist logic⁴⁶ would have assumed that the center fuel had already been used. It would have required the flight crew to analyse the situation and determine that fuel was available in the center tank, which would have been challenging given the high workload of flying a go-around and possible diversion. Therefore, there would have been a very real potential for an engine failure if a go-around had been required. The captain had recognised this and commented that "the risk that we put ourselves in by not turning those pumps on was that had we have had to do a go-round we've put ourselves in a situation that may have been critical".

Departed without suitable alternates nominated

- 3.33. Flight planning is discussed in paragraph 2.40, specifically the requirement to nominate a destination alternate and EDTO alternates for each flight. At the planning stage, the nominated destination alternate for AWK2 was Palmerston North and the second EDTO alternate was Auckland. The weather forecasts for both aerodromes at the planning stage were suitable.
- 3.34. Just prior to AWK2's landing in Sydney, new weather forecasts were issued by MetService for New Zealand airports. These showed a deterioration in the weather. The original forecasts for Auckland obtained at the briefing had shown a 30 per cent probability of fog. The new forecast for Auckland predicted fog present rather than possible, and reduced visibility at Palmerston North and Christchurch.
- 3.35. From a planning perspective, Palmerston North no longer met the requirements for nomination as a destination alternate for Auckland, and neither did Christchurch. Auckland, Christchurch and Palmerston North also did not meet the requirement for nomination as EDTO en-route alternates.
- 3.36. The weather forecast for Wellington was suitable for it to be used as a destination and EDTO alternate. However, had an aircraft been diverted there it would have remained on the ground until 0600 due to local curfew restrictions. It would also have meant no custom and immigration staff to process the flight crew and no loaders to move cargo if required.
- 3.37. On landing in Sydney, the flight crew were notified by email that fog was then forecast for Auckland. The flight crew attempted to get updated weather forecasts and reports for the return sector from the OCC via satphone,⁴⁷ but they stated that the person they spoke to was unable to decode the weather forecast and relay it to the flight crew in an understandable format.
- 3.38. The flight crew responded to the email, requesting that flight plan fuel be increased to 13,000 kg, thus adding 1000 kg.⁴⁸ The flight crew did not request a new flight plan

⁴⁶ Normal and non-normal checklists assume that all procedures have been carried out as per the manuals, so in this instance the assumption of any checklist would have been that center fuel had already been used.
⁴⁷ A satellite telephone installed on all the operator's aircraft as the primary means of communication with the

OCC. ⁴⁸ Adding 1000 kg to the total fuel would have given the crew additional flexibility. For example, they would potentially have had enough fuel to fly to Auckland and then divert to Christchurch.

to indicate a change in nominated destination alternate and EDTO alternates, nor were they offered one by the OCC. In addition, neither the flight crew nor the OCC considered nominating Wellington as an EDTO alternate or undertook any fuel calculations in support of this.

- 3.39. EDTO authorisations and requirements, laid out in CAR Part 121 Subpart N, are in place to provide a high level of safety for twin-engine aircraft operating on extended-range routes. The intent of the rules is to avoid diversion, but also to ensure a safe outcome should a diversion be required.
- 3.40. The flight plan that was originally submitted for this flight nominated Sydney and Auckland as the EDTO alternates. It calculated the equal time point (ETP)⁴⁹ for the EDTO critical fuel⁵⁰ scenario and provided the geographical coordinates of the point.
- 3.41. Figure 6 shows the EDTO planned routing. The yellow line depicts the flight as planned with Sydney and Auckland the EDTO alternates. The EDTO entry point is depicted as EEP1 and is the entry point to the EDTO portion of the flight, which is 60 minutes out from the departure point. The EDTO exit point is depicted as EXP1 and is the exit point from the EDTO portion of the flight, which is 60 minutes' flight time to the destination. If Auckland had been unsuitable and Wellington nominated as the EDTO alternate, the ETP between the EDTO alternates of Sydney and Wellington (depicted in red) would have moved from the original point. This would have required more fuel as the fuel usage would have been higher on the ETP to the Wellington sector as it was longer then ETP to Auckland.

 ⁴⁹ The point of equal flight time between two diversion airports. The point moves dependent on the wind – in a headwind the point is closer to the destination; in a tailwind it is closer to the point of departure.
 ⁵⁰ The fuel quantity necessary to fly to an en-route alternate aerodrome considering, at the most critical point on the route, the most time-limiting failure; OCC Manual, Section 6.1, pg 69.



Figure 6: Equal time point

- 3.42. Flight AWK82 was another company-operated B737 inbound from Melbourne on that evening. It landed in Auckland at 0346, six minutes after AWK2. The flight plan for this flight also nominated Palmerston North as the alternate and Auckland as the second EDTO alternate.
- 3.43. The two operators' flights departed Sydney and Melbourne for Auckland with sufficient fuel to complete their flights, but with flight plans nominating destinations and EDTO alternates that did not comply with regulatory or company flight planning requirements. The flight crew had no means to accurately determine an ETP to a suitable EDTO alternate. The safety buffer that the EDTO ruleset normally provided was reduced.

OCC staff not providing support to flight in accordance with operator's exposition

Safety issue: The OCC staff did not provide flight support in the form of updated weather forecasts for aerodromes or flight plans in accordance with the operator's exposition. The absence of current and relevant information can affect a flight crew's performance and the safety of a flight.

- 3.44. As discussed in paragraph 2.35, EDTO is regulated under CAR Part 121 Subpart N. The operator stipulated how it would meet the requirements of Subpart N by outlining the duties and activities of the OCC in the operator's Flight Operations Exposition OCC Manual.
- 3.45. The OCC Manual outlined the functions of the OCC, which included flight planning and flight following. These required OCC staff to review NOTAMs⁵¹ and weather forecasts and prepare and submit flight plans for the operator's flights. OCC staff also provided updated information in support of pilot-in-commands' responsibility for operational control once flight plans have been released.⁵²
- 3.46. On landing in Sydney, the flight crew received an email from the OCC advising that the weather was deteriorating at Auckland for the return sector. However, they were not provided with updated forecasts for destinations or potential alternates. Both pilots stated that when they contacted the OCC while on the ground in Sydney, the person they spoke to had difficulty decoding the weather forecast and giving them the information they required. They advised the OCC by email that they required an extra 1000 kg of fuel but they did not request or receive an amended flight plan to reflect the increased fuel load or a change in nominated alternates.
- 3.47. EDTO flight watch is also described in the OCC Manual.⁵³ Among other tasks is a requirement for OCC staff to contact the flight crew as the aircraft approach's EDTO entry points to notify them of any changes in weather or aerodrome conditions that may affect the suitability of nominated EDTO alternates.

⁵¹A NOTAM (Notice to Airmen or Notice to Air Mission) is a notice distributed by means of telecommunication containing information on the establishment of, condition of or change in any aeronautical facility, service, procedure or hazard, the timely knowledge of which is essential to personnel concerned with flight operations; (CAR Part 1 – Definitions)

⁵² OCC Manual, Section 1.3.1.

⁵³ OCC Manual, Section 6.17.

- 3.48. This contact between aircraft and the OCC as part of flight watch is via satphone and is recorded on an EDTO flight sheet; an example of the sheet is included in the OCC Manual.⁵⁴ The OCC manager confirmed that the log for the night of the incident flight had not been filled out. The Commission sighted this document. The flight crew confirmed that they had not been contacted by OCC via satphone.
- 3.49. The process for OCC staff to obtain updated weather forecasts was manual and required reviews of email accounts. The OCC manager acknowledged that, at that time, system issues meant OCC staff could not do flight watch as effectively as the manager would have liked. The OCC manager also said that their main challenges had been to find suitably qualified people to work in the OCC and for the OCC to be fully staffed.
- 3.50. The support given to flights AWK2 and AWK82 by OCC staff on the evening of the incident did not meet the requirements stipulated in the approved manuals. OCC staff did not provide relevant and timely weather information, and the flight plans were not updated. This reduced the enhanced safety requirements of the EDTO ruleset.

Flight crew responsibility

Safety issue: The flight crew on the operator's two flights (AWK2 and AWK82) on 7 June departed Auckland with flight plans that did not comply with the operator's or the regulator's alternate and EDTO fuel and planning requirements. Flight crew need to ensure that their flights are compliant with the relevant requirements to help them ensure flights are conducted as safely as possible.

- 3.51. A flight plan calculates and records fuel requirements for flight to a destination and then on to an alternate. It also assesses the EDTO critical fuel scenario and calculates the fuel required from the most critical point of the flight to the EDTO alternate should a failure occur at the most critical point. It is important that flight crew have accurate information so they can make good decisions in the event of failures.
- 3.52. The pilot-in-command of an aircraft is ultimately responsible for ensuring that their submitted flight plans meet company and regulatory requirements.

Regulatory oversight

Safety issue: The regulator's oversight and auditing of the operator identified deficiencies in the operator's safety management system that have yet to be fully addressed. The regulator has a role in ensuring that deficiencies in an operator's safety management system are addressed to reduce the risk of accidents occurring.

3.53. As described in the preceding paragraphs, the inquiry identified deficiencies in the operator's flight planning and the OCC's support for flights. Other issues around documentation control in the operator's quality assurance systems were also identified. The training record of the first officer's previous check to line flight could not be located by the operator. Errors in updates to the OCC Manual were also noted by the Commission. These were reported to the operator and investigated, and a clerical error in the update process was noted and rectified. The operator has recently appointed a documentation quality control manager to oversee and review document control.

⁵⁴ OCC Manual, Section 6.18.

- 3.54. The regulator has stated that it takes a risk-based approach to reduce the regulatory burden for those with good-quality safety systems.⁵⁵ Its policy on an intelligence-led, risk-based regulatory approach is detailed in a document, Regulatory Safety and Security Strategy 2022-2027⁵⁶, which explains aviation risks and the prioritisation of effort. The regulator is reliant on the SMS of an operator to identify areas that could signal or lead to hazards and risks.
- 3.55. As part of this inquiry, recent regulator audit reports on the operator were reviewed. The CAA report for CAR Part 119 Operational Recertification in March 2019 stated that some elements of the SMS had progressed since its original approval in 2017, while others had not. Subsequent audits in 2021 and 2022 highlighted other deficiencies with the operator's SMS. These findings included that risks identified in internal audits and investigations were not being fed back into the safety and risk management process. The regulator also commented that the turnover of senior management meant that envisaged improvements had not come to fruition.
- 3.56. The Commission reviewed documentation provided by the regulator to support the interactions with and the significant work programme undertaken by the operator and overseen by the regulator as they work to resolve the deficiencies identified. The regulator stated that 'this is work in progress given the complexity and range of problems identified and the fixes required'. The regulator confirmed that the operator's SMS was not yet fully effective.
- 3.57. ICAO guidance on SMS acknowledges that 'safety management takes time to mature and the aim should be to maintain or continuously improve the safety performance of the organization'.⁵⁷ It goes on to say that 'the relationship between a State and its service providers should evolve beyond compliance and enforcement, to a partnership aimed at maintaining or continuously improving safety performance'.⁵⁸ The objective is for an operator's SMS to mature from operating to being effective.

⁵⁶ https://www.aviation.govt.nz/assets/publications/regulatory/CAA-Regulatory-Strategy-2022-27.pdf

⁵⁵ CAA Briefing (May 2024); email briefing to subscribers on 30 May 2024.

⁵⁷ ICAO Doc 9859, Safety Management Manual, Fourth Edition, 2018, 1.3.4.3.

⁵⁸ ibid, 8.3.4.4.

4 Findings Ngā kitenga

- 4.1. The flight crew omitted to turn on the center fuel pumps before starting the aircraft and did not detect that the fuel in the center tank had not been used until after landing in Auckland when a main fuel pump low pressure light illuminated.
- 4.2. The requirement to reprogramme and replan their departure due to a runway change and the approaching curfew added to the pressure the flight crew were under and **very likely** contributed to distraction when they were completing the *Before start* checklist.
- 4.3. If the aircraft had flown a go-around from the approach into Auckland, it is **likely** that the fuel in the main tanks would have been exhausted during the manoeuvre.
- 4.4. The operator's Operations Control Centre (OCC) staff did not provide updated weather forecasts or flight plans to the crew prior to Extended Diversion Time Operation (EDTO) sectors as required by the operator's OCC Manual.
- 4.5. The operator's flights AWK2 and AWK82 departed for Auckland with flight plans nominating destination and EDTO alternates that were not compliant with regulatory or company flight planning requirements.
- 4.6. The regulator's audit identified deficiencies in the operator's safety management system, both before and after the occurrence. The regulator is monitoring the implementation of the corrective actions taken by the operator to progressively address these deficiencies.

5 Safety issues and remedial action Ngā take haumaru me ngā mahi whakatika

General

- 5.1. Safety issues are an output from the Commission's analysis. They may not always relate to factors directly contributing to the accident or incident. They typically describe a system problem that has the potential to adversely affect future transport safety.
- 5.2. Safety issues may be addressed by safety actions taken by a participant, otherwise the Commission may issue a recommendation to address an issue.

Center fuel pumps not switched on

Safety issue: The center fuel pumps were not switched on as required by the Before start procedure, and this omission was not captured by the crew completing the Before start checklist. If not detected, this omission has the potential to cause fuel starvation in the engines, increasing the risk of an accident occurring.

- 5.3. This increased the risk of the aircraft experiencing fuel starvation before reaching its destination, and an accident occurring.
- 5.4. The operator has taken the safety action of amending the checklist to ensure the center fuel pumps are correctly configured before take-off. The manager flight operations confirmed that the change to the checklist had gone through the operator's change-management process before being approved. The process formed part of the operator's exposition that had been assessed by the regulator. Boeing was subsequently consulted on the change.
- 5.5. From a training perspective, the operator implemented EDTO elements in simulator training profiles, exposing crew to a scenario where center tank fuel pumps are required and the amended checklist item is exercised.
- 5.6. The Commission welcomes these safety actions and as a result no safety recommendation is required.

OCC staff not providing support to flight in accordance with operator's exposition

Safety issue: The OCC staff did not provide flight support in the form of updated weather forecasts for aerodromes or flight plans in accordance with the operator's exposition. The absence of current and relevant information can affect a flight crew's performance and the safety of a flight.

- 5.7. In early 2023 the operator implemented an automated system that generated alerts whenever a new weather forecast or NOTAM was issued that affected the operator's flights. This improved the OCC's ability to provide flight crew with timely information.
- 5.8. The Commission welcomes this action and notes the operator's focus on OCC operation. As a result, no specific safety recommendation has been made.

Flight crew responsibility

Safety issue: The flight crew on the operator's two flights (AWK2 and AWK82) on 7 June departed Auckland with flight plans that did not comply with the operator's or the regulator's alternate and EDTO fuel and planning requirements. Flight crew need to ensure that their flights are compliant with the relevant requirements to help then them ensure the flights are conducted as safely as possible.

5.9. The operator issued a notice to flight crew to remind them of their responsibilities. The Commission welcomes this action and as a result no safety recommendation is required.

Regulatory oversight

Safety issue: The regulator's oversight and auditing of the operator identified deficiencies in the operator's safety management system that have yet to be fully addressed. The regulator has a role in ensuring that deficiencies in an operator's safety management system are addressed to reduce the risk of accidents occurring.

- 5.10. The regulator created a detailed monitoring programme for the operator for 2024, which outlined suggested monitoring activities covering the management of safety, en-route audits, EDTO operations and other operational aspects of the operator. This was part of the regulator's ongoing intelligence-led and risk-based approach to the areas that it assess during audits. It also allowed the regulator to review ongoing work to resolve previous audit findings with the operator.
- 5.11. The Commission welcomes the engagement between regulator and operator as the regulator continues to assess the operator's actions to resolve identified issues. The Commission notes the regulator's ongoing work to ensure that the safety-related deficiencies are appropriately addressed and closed. On this basis the Commission has decided that no safety recommendation is required.

6 Recommendations Ngā tūtohutanga

General

- 6.1. The Commission issues recommendations to address safety issues found in its investigations. Recommendations may be addressed to organisations or people and can relate to safety issues found within an organisation or within the wider transport system that have the potential to contribute to future transport accidents and incidents.
- 6.2. In the interests of transport safety, it is important that recommendations are implemented without delay to help prevent similar accidents or incidents occurring in the future.
- 6.3. The Commission acknowledges the actions taken and the ongoing work by the regulator and the operator, and on this basis has not issued any further safety recommendations.

7 Key lessons Ngā akoranga matua

- 7.1. Pilots need to devote their full attention to ensuring that procedures, checklists and en-route checks involving critical aircraft systems are completed with rigour and be aware of potential distractions.
- 7.2. Ground-based operational staff provide essential support to flight crew on extendedrange flights. These staff need to be skilled and proficient in following the procedures detailed in their manuals to provide the support that flight crew require.
- 7.3. Pilots should ensure that submitted flight plans for their flights are compliant with operator and regulatory procedures for alternate aerodrome nominations so that they adhere to this critical safety factor in the planning process.

8 Data summary Whakarāpopoto raraunga

Aircraft particulars

	Aircraft registration:	ZK-TLL
	Type and serial number:	737-484SF; 25362
	Number and type of engines:	two CFM56–3 dual rotor axial flow turbofan
	Year of manufacture:	1991
	Operator:	Airwork Flight Operations Limited
	Type of flight:	cargo
	Persons on board:	two
Flight	crew particulars	
	Pilot's licence:	airline transport pilot licence (aeroplane), issued 2015
	Pilot's age:	73
	Pilot's total flying experience:	29,000 hours
	First officer's licence:	airline transport pilot licence (aeroplane), issued 2022
	First officer's age:	37
	First officer's flying experience:	4500 hours
Date	and time	7 June 2022, 0340
Loca	ation	Auckland Airport
		latitude: 33° 00.5′ south
		longitude: 174° 47.5´ east
Injur	ies	nil
Dam	age	nil

9 Conduct of the inquiry Te whakahaere I te pakirehua

- 9.1. The operator notified the CAA and the ATSB of the occurrence on 9 June 2022. The ATSB notified the Commission on 14 June and the CAA the following day. The Commission subsequently opened an inquiry under section 13(1) of the Transport Accident Investigation Commission Act 1990 and appointed an investigator in charge.
- 9.2. On 1 July 2022 two Commission investigators travelled to Auckland and met the operator's senior management. Relevant operator, aircraft and pilot documents were obtained. On 24 August 2022 the investigators returned to Auckland and interviewed the crew and visited the OCC.
- 9.3. On 7 March 2023 the Investigator-in-Charge returned overseas, and a replacement was appointed. On 12 April 2023 investigators met with relevant management staff, including new staff, and further documents were obtained. A follow-up meeting was held on 13 September 2023.
- 9.4. On 17 August 2023 an accredited representative was appointed by the ATSB to facilitate questions relating to the Sydney curfew.
- 9.5. On 27 October 2023 an accredited representative was appointed by NTSB in the USA to facilitate questions to the aircraft manufacturer.
- 9.6. On 28 March 2024 the Commission approved a draft report for circulation to five interested parties for their comment.
- 9.7. Three interested parties provided submissions, and two interested parties replied that they had no comments. As a result of the submissions, further investigation was undertaken and a further draft report prepared.
- 9.8. On 28 August 2024 the Commission approved the further draft report and sought further comment from the two interested parties that had been affected by changes in the report.
- 9.9. The two interested parties provided further submissions.
- 9.10. Any changes as a result of submissions from all interested parties have been included in the final report.
- 9.11. On 26 September 2024 the Commission approved the report for final publication.

Abbreviations Whakapotonga

ACARS	Aircraft Communications, Addressing and Reporting System	
Alternate	alternate aerodrome	
APU	auxiliary power unit	
ATC	air traffic control	
ATSB	Australian Transport Safety Bureau	
AWK 1 / 2	the ATC callsign of the Airwork 1/2 flight Auckland-Sydney-Auckland	
AWK 81/82	the ATC callsign of the Airwork 81 / 82 flight Auckland-Melbourne- Auckland	
B737	Boeing 737	
CAA	Civil Aviation Authority	
CAR	Civil Aviation Rule	
EDTO	Extended Diversion Time Operation	
ETP	equal time point	
FCOM	Flight Crew Operations Manual	
ICAO	International Civil Aviation Organization	
kg	kilogram	
m	metre	
MetService	Meteorological Service of New Zealand Limited	
NASA	US National Aeronautics and Space Administration	
NOTAM	Notice to Airmen or Notice to Air Mission	
осс	Operations Control Centre	
SMS	safety management system	
TMN2	ATC callsign of flight operated by Tasman Cargo Airlines	

Glossary Kuputaka

Instrument approach procedure	a series of predetermined manoeuvres by reference to flight instruments with specified protection from obstacles ⁵⁹
master caution light	a light on an instrument panel designed to gain a pilot's attention and direction them to an area of concern.
minima	Criteria used by pilots to determine if they can land or take-off from a runway. Consists of two parts: cloud base and visibility
noise abatement procedure	prescribed procedures that are designed to reduce the effects of noise within the vicinity of an aerodrome.
non-precision approach	an instrument approach procedure that provides lateral guidance only, no vertical guidance.
precision approach	instrument approach and landing procedure using precision lateral and vertical guidance
push back	the coordinated pushing back of an aeroplane, normally using a ground vehicle, that has parked nose into a gate. Engine start will often occur during the push back
satphones	satellite telephones installed on all the operator's aircraft as the primary means of communication with the Operations Control Centre
sector	one flight, from departure point to destination point

⁵⁹ For this and other glossary terms SKYbrary has been used as the source. SKYbrary was initiated by Eurocontrol in partnership with ICAO, FAA and the UK Flight Safety Committee; (<u>https://skybrary.aero/about-skybrary</u>)

Citations Ngā tohutoru

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Appendix 1 Weather forecasts

Aerodrome forecasts (TAF) issued on 5/6th June 2022 by MetService (decode information on following page from AIP (AIP GEN 3.5, p. 19 & 20))

Forecasts used for flight crew's original briefing:

TAF NZPM 052312Z 0600/0618 VRB02KT 20KM BKN050

TAF **NZAA** 060509Z 0606/0706 03005KT 9999 -SHRA BKN030 PROB30 0615/0620 0500 FG

TAF **NZCH** 060509Z 0606/0706 VRB02KT 9999 FEW050 PROB30 0610/0616 0500 FG BECMG 0616/0618 04010KT

Forecast issued prior to flight AWK2 departure from Sydney:

TAF **NZCH** 061109Z 0612/0712 VRB02KT 2000 BR FEW05 TEMPO 0612/0616 0500 FG BECMG 0616/0618 04010KT 9999 NSW FM070600 04005KT 9999 -RA BKN050 TAF COR **NZCH** 061120Z 0612/0712 VRB02KT 2000 BR FEW050 TEMPO 0612/0616 0500 FG BECMG 0616/0618 04010KT 9999 NSW FM070600 VRB02KT 9999 -RA BKN050

TAF **NZAA** 061138Z 0612/0712 03008KT 0500 FG BKN012 BECMG 0612/0614 BKN003 BECMG 0620/0622 9999 NSW FEW006 SCT025 TEMPO 0710/0712 6000 SHRA

TAF **NZPM** 061138Z 0612/0706 VRB02KT 2000 BR FEW075 TEMPO 0612/0621 0500 FG BECMG 0620/0622 25KM NSW

ATIS for NZAA

1408Z ATIS G: ILS DME Cat3 23L Dry 310/4 800m FG RVR TD 1000 MP 900 SE 650 VV500' 10/10 1015

1427Z ATIS H: ILS DME Cat3 23L Dry VRB/1 800m FG RVR TD 275 MP 350 SE 1000 VV300' 11/11 1015

 1513Z ATIS I: ILS DME Cat3 23L
 Dry VRB1 1000m FG RVR TD 900 MP 1000 SE 550

 FEW002 11/11 1015

15:33 ATIS J: ILS DME Cat3 23L Dry 080/3 700m FG RVR TD650 MP 650 SE 1500 FEW002 11/11 1015

AIP New Zealand

4.5 Aerodrome Forecasts (TAF)

4.5.1 TAF are aerodrome forecasts in coded form.

4.5.2 TAF for Auckland, Wellington and Christchurch, and Ohakea International aerodromes are valid for 30 hours commencing at 0000, 0600, 1200 and 1800 UTC daily. There will also be a routine update commencing at 0300, 0900, 1500, and 2100 UTC daily, with a validity of 27 hours. Each TAF is issued within 1 hour before the start of the validity period.

4.5.3 TAF for Hamilton aerodrome are valid for 24 hours commencing at 0000, 0600, 1200 and 1800 UTC daily. Each TAF is issued within 1 hour before the start of the validity period.

4.5.4 TAF for domestic aerodromes (i.e. those other than Auckland, Wellington, Christchurch, Hamilton and Ohakea aerodromes) are issued as follows:

Issue Number	Issue Time (Local)	Valid (UTC)*
1	Between 11pm and midnight	1200Z - 0600Z
2	Between 11am and midday	0000Z - 1200Z*

*Exceptions:	Issue 2 of the NZPM TAF is valid from 0000Z - 1800Z and
	Issue 2 of the NZTG TAF is valid from 0000Z - 2100Z
	Issue 2 of the NZDN TAF and the NZNV TAF is valid from 0000Z - 1500Z
www.sec.th.the.estimate	a and a discribed bands and become been deallight and and in the former the marine in the

** Validity times are adjusted back an hour when daylight savings is in force, to maintain the same local validity times.

4.5.5 The list of locations for which TAF are available is provided in Table GEN 3.5-2.

Example of domestic TAF

4.5.6 The following is an example of a domestic TAF coded message intended for domestic operations:

TAF NZRO 191153Z 1912/2006 01015G28KT 30KM -SHRA SCT020 BKN035 TEMPO 1923/2004 5000 +TSRAGS BKN010CB 2000FT WIND 36020KT QNH MNM 990 MAX 999

EN 3.5 - 20

AIP New Zealand

Decode of domestic TAF

4.5.7 The following is the decode, using the information listed in Table GEN 3.5-2, of the message in paragraph 4.5.6:

- (a) Aerodrome forecast for Rotorua, issued at 1153 UTC on the 19th of the month, valid from 1200 UTC on the 19th to 0600 UTC on the 20th of the month.
- (b) Surface wind: 010 degrees true, 15 knots gusts 28 knots.
- (c) Prevailing visibility: 30 kilometres.
- (d) Weather: Light shower of rain at the time of observation.
- (e) Cloud: scattered cloud with a base at 2000 feet above aerodrome level, broken cloud with a base at 3500 feet above aerodrome level.
- (f) Temporarily, between 2300 UTC on the 19th and 0400 UTC on the 20th, visibility will reduce to 5000 metres in heavy thunderstorms with rain and small hail pellets, and broken cumulonimbus cloud at 1000 feet above aerodrome level.
- (g) Wind at 2000 feet 360 degrees true at 20 knots (this is not included in TAF in the international format).
- (h) Pressure: QNH forecast to be between 990–999 hPa during the validity period (this is not included in TAF in the international format).

Appendix 2 Airwork Checklists

Airwork NZ Boeing 737-300/ -400 Flight Crew Operations Manual (FCOM), Revision 19, Pg NP.21.32



Airwork Operations Manual Part A General Procedures, Amendment 1, Pg 3-10



Airwork 737 Quick Reference Handbook, Revision 19, Pg NC.1

r				
	BEFORE START			
	Flight deck door Closed and locked			
	Fuel LBS, Pumps ON			
	PW028 - PW714 Fuel			
	Passenger signs			
	Windows Locked			
	MCP			
	Takeoff speeds V1, VR, V2			
	CDU preflight			
	Rudder and aileron trim Free and 0			
	Taxi and takeoff briefing Completed			
	Anti collision lightON			
l				

Airwork 737 Quick Reference Handbook, Revision 19, Pg CI.1.1



Appendix 3 Civil Aviation Rules (CARs)

100.1 Applicability

This Part applies to an organisation that is required by the Civil Aviation Rules to establish, implement, and maintain a system for safety management.

100.3 System for safety management

(a) An organisation to which this Part applies must have a system for safety management that includes—

- a safety policy on which the system for safety management is based; and
- (2) a process for risk management that identifies hazards to aviation safety, and that evaluates and manages the associated risks; and
- (3) safety assurance measures that ensure-
 - hazards, incidents, and accidents are internally reported and analysed and action is taken to prevent recurrence; and
 - (ii) goals for the improvement of aviation safety are set and the attainment of these goals is measured; and
 - (iii) there is a quality assurance programme that includes conducting internal audits and regular reviews of the system for safety management; and
- (4) training that ensures personnel are competent to fulfil their safety responsibilities.

(b) The organisation must document all processes required to establish and maintain the system for safety management.

(c) The organisation's system for safety management must correspond to the size of the organisation, the nature and complexity of the activities undertaken by the organisation, and the hazards and associated risks inherent in the activities undertaken by the organisation.

121.977 En-route EDTO alternate aerodrome planning minima

Except as provided in rule 121.979, the applicable minima for an aerodrome to be listed as an en-route EDTO alternate aerodrome under rule 121.969 are specified in the following table:

Facilities available at EDTO en-route alternate	Ceiling	Visibility
Two or More Separate Precision Approach Procedure Equipped Runways (Note: A single runway with reciprocal precision approach procedures does not meet this requirement)	Cloud-base of 400 feet or a cloud-base of 200 feet above the lowest aerodrome landing minimum; whichever is higher.	A visibility of 1500 metres or a visibility of 800 metres more than the lowest aerodrome landing minimum; whichever is greater.
A Single Precision Approach Procedure	Cloud-base of 600 feet or a cloud-base of 400 feet above the lowest aerodrome landing minimum; whichever is higher.	A visibility of 3000 metres or a visibility of 1500 metres more than the lowest aerodrome landing minimum; whichever is greater.
Non-precision Approach Procedure	Cloud-base of 800 feet or a cloud-base of 400 feet above the lowest aerodrome landing minimum; whichever is higher	A visibility of 4000 metres or a visibility of 1500 metres more than the lowest aerodrome landing minimum; whichever is greater.

Kōwhaiwhai - Māori scroll designs

TAIC commissioned its four kōwhaiwhai, Māori scroll designs, from artist Sandy Rodgers (Ngāti Raukawa, Tūwharetoa, MacDougal). Sandy began from thinking of the Commission as a vehicle or vessel for seeking knowledge to understand transport accident tragedies and how to avoid them. A 'waka whai mārama' (i te ara haumaru) is 'a vessel/vehicle in pursuit of understanding'. Waka is a metaphor for the Commission. Mārama (from 'te ao mārama' – the world of light) is for the separation of Rangitāne (Sky Father) and Papatūānuku (Earth Mother) by their son Tāne Māhuta (god of man, forests and everything dwelling within), which brought light and thus awareness to the world. 'Te ara' is 'the path' and 'haumaru' is 'safe' or 'risk free'.

Corporate: Te Ara Haumaru - the safe and risk free path



The eye motif looks to the future, watching the path for obstructions. The encased double koru is the mother and child, symbolising protection, safety and guidance. The triple koru represents the three kete of knowledge that Tāne Māhuta collected from the highest of the heavens to pass their wisdom to humanity. The continual wave is the perpetual line of influence. The succession of humps represents the individual inquiries. Sandy acknowledges Tāne Māhuta in the creation of this Kōwhaiwhai.

Aviation: Ngā hau e whā - the four winds



To Sandy, 'Ngā hau e whā' (the four winds), commonly used in Te Reo Māori to refer to people coming together from across Aotearoa, was also redolent of the aviation environment. The design represents the sky, cloud, and wind. There is a manu (bird) form representing the aircraft that move through Aotearoa's 'long white cloud'. The letter 'A' is present, standing for a 'Aviation'.

Sandy acknowledges Ranginui (Sky father) and Tāwhirimātea (God of wind) in the creation of this Kōwhaiwhai.

Maritime: Ara wai - waterways



The sections of waves flowing across the design represent the many different 'ara wai' (waterways) that ships sail across. The 'V' shape is a ship's prow and its wake. The letter 'M' is present, standing for 'Maritime.

Sandy acknowledges Tangaroa (God of the sea) in the creation of this Kōwhaiwhai.

Rail: rerewhenua - flowing across the land



The design represents the fluid movement of trains across Aotearoa. 'Rere' is to flow or fly. 'Whenua' is the land. The koru forms represent the earth, land and flora that trains pass over and through. The letter 'R' is present, standing for 'Rail'.

Sandy acknowledges Papatūānuku (Earth Mother) and Tāne Mahuta (God of man and forests and everything that dwells within) in the creation of this Kōwhaiwhai.



Recent Aviation Occurrence reports published by the Transport Accident Investigation Commission (most recent at top of list)

AO-2023-001	Airbus Helicopters AS350B2 (ZK-IDB) and EC130B4 (ZK-IUP), reported close air proximity, Queenstown Aerodrome, 27 December 2022
AO-2018-009	MD Helicopters 500D, ZK-HOJ, In-flight breakup, near Wānaka Aerodrome, 18 October 2018
AO-2022-002	Robinson R22, ZK-HEQ, loss of control inflight, Karamea, West Coast, 2 January 2022
AO-2021-003	Airbus Helicopters AS350 B3e, ZK-ITD, loss of control in flight, Lammerlaw Range, 40 km northwest of Dunedin Aerodrome, 16 September 2021
AO-2020-002	Glider, Schleicher ASK21, ZK-GTG, Impact with Terrain, Mount Tauhara, Taupō, 31 May 2020
AO-2022-001	Ultramagic Balloons, N-250, ZK-MET, pilot ejection from basket on landing, Lyndhurst, near Methven, 1 January 2022
AO-2021-001	Kavanagh Balloons E-260, ZK-FBK, hard landing and ejection of occupants, Wakatipu Basin, near Arrowtown, 9 July 2021
AO-2019-007	Air traffic services outage, 30 September 2019
AO-2019-005	BK-117-C1 ZK-IMK controlled flight into terrain (water), Auckland Islands, 22 April 2019
AO-2020-003	Eurocopter EC120-B, ZK-HEK, Loss of control in flight and collision with terrain, Kekerengu, 50 kilometres northeast of Kaikoura, 15 December 2020
AO-2019-006	Cessna 185A, ZK-CBY and Tecnam P2002, ZK-WAK, Mid-air collision, near Masterton, 16 June 2019
AO-2019-002	Bombardiers DHC-8-311, ZK-NEH, and ZK-NEF, 'Loss of separation' near Wellington, New Zealand, 12 March 2019

Price \$18.00