Final report AO-2015-001: Pacific Aerospace Limited 750XL, ZK-SDT, Engine failure, Lake Taupō, 7 January 2015

The Transport Accident Investigation Commission is an independent Crown entity established to determine the circumstances and causes of accidents and incidents with a view to avoiding similar occurrences in the future. Accordingly it is inappropriate that reports should be used to assign fault or blame or determine liability, since neither the investigation nor the reporting process has been undertaken for that purpose.

The Commission may make recommendations to improve transport safety. The cost of implementing any recommendation must always be balanced against its benefits. Such analysis is a matter for the regulator and the industry.

These reports may be reprinted in whole or in part without charge, providing acknowledgement is made to the Transport Accident Investigation Commission.



# **Final Report**

Aviation inquiry AO-2015-001 Pacific Aerospace Limited 750XL, ZK-SDT Engine failure

> Lake Taupō 7 January 2015

Approved for publication: June 2017

#### About the Transport Accident Investigation Commission

The Transport Accident Investigation Commission (Commission) is a standing commission of inquiry and an independent Crown entity responsible for inquiring into maritime, aviation and rail accidents and incidents for New Zealand, and co-ordinating and co-operating with other accident investigation organisations overseas. The principal purpose of its inquiries is to determine the circumstances and causes of the occurrences with a view to avoiding similar occurrences in the future. Its purpose is not to ascribe blame to any person or agency or to pursue (or to assist an agency to pursue) criminal, civil or regulatory action against a person or agency. The Commission carries out its purpose by informing members of the transport sector and the public, both domestically and internationally, of the lessons that can be learnt from transport accidents and incidents.

#### **Commissioners**

Chief Commissioner	Jane Meares
Deputy Chief Commissioner	Peter McKenzie, QC
Commissioner	Stephen Davies Howard
Commissioner	Richard Marchant
Commissioner	Paula Rose, QSO (from May 2017)

#### Key Commission personnel

Chief Executive	Lois Hutchinson
Chief Investigator of Accidents	Captain Tim Burfoot
Investigator in Charge	Peter R Williams
General Manager Legal & Business Services	Cathryn Bridge

Email	inquiries@taic.org.nz
Web	www.taic.org.nz
Telephone	+ 64 4 473 3112 (24 hrs) or 0800 188 926
Fax	+ 64 4 499 1510
Address	Level 11, 114 The Terrace, PO Box 10 323, Wellington 6143, New Zealand

## Important notes

#### Nature of the final report

This final report has not been prepared for the purpose of supporting any criminal, civil or regulatory action against any person or agency. The Transport Accident Investigation Commission Act 1990 makes this final report inadmissible as evidence in any proceedings with the exception of a Coroner's inquest.

#### **Ownership of report**

This report remains the intellectual property of the Transport Accident Investigation Commission.

This report may be reprinted in whole or in part without charge, provided that acknowledgement is made to the Transport Accident Investigation Commission.

#### **Citations and referencing**

Information derived from interviews during the Commission's inquiry into the occurrence is not cited in this final report. Documents that would normally be accessible to industry participants only and not discoverable under the Official Information Act 1982 have been referenced as footnotes only. Other documents referred to during the Commission's inquiry that are publicly available are cited.

#### Photographs, diagrams, pictures

Unless otherwise specified, photographs, diagrams and pictures included in this final report are provided by, and owned by, the Commission.

#### Verbal probability expressions

The expressions listed in the following table are used in this report to describe the degree of probability (or likelihood) that an event happened or a condition existed in support of a hypothesis.

Terminology (Adopted from the Intergovernmental Panel on Climate Change)	Likelihood of the occurrence/outcome	Equivalent terms	
Virtually certain	> 99% probability of occurrence	Almost certain	
Very likely	> 90% probability	Highly likely, very probable	
Likely	> 66% probability	Probable	
About as likely as not	33% to 66% probability	More or less likely	
Unlikely	< 33% probability	Improbable	
Very unlikely	< 10% probability	Highly unlikely	
Exceptionally unlikely	< 1% probability		



Pacific Aerospace 750XL, ZK-SDT, at Taupō, January 2015

Photograph courtesy of Rob Neil



Location of the accident

Source: mapsof.net

# Contents

Abb	reviatio	ns	ii			
Glos	sary		ii			
Data	a summ	ary	iii			
1.	Executive summary1					
2.	2. Conduct of the inquiry					
3. Factual information						
	3.1.	The accident flight	4			
	3.2.	Personnel information	5			
		The pilot	5			
		Tandem masters	5			
	3.3.	Aircraft information	5			
		Alternative engine maintenance programme	6			
		Compressor turbine overhaul	7			
		Operator's engine handling policy	8			
		Recorded data	9			
	3.4.	Medical and pathological information				
	3.5.	Tests and research				
	3.6.	Mission profile review				
	3.7.	Other information				
		Type certificate anomalies				
4.	Analys	is	14			
	4.1.	What happened				
		Safety issues				
	4.2.	Premature failure of the compressor turbine blade				
	4.3.	Maintenance practices				
		Engine inspections				
		Skydiving mission profile				
		The MORE registration process				
	4.4.	Survivability aspects				
		Tandem pairs				
		The pilot				
		Training of parachute drop pilots for emergencies				
5.	Finding	gs				
6.	Safety	actions				
	Genera	al				
	Safety	actions addressing safety issues identified during an inquiry				
	Safety	actions addressing other safety issues				
7.	Recom	mendation				
	Genera	al				
	Recom	mendations				
8.	Key les	ssons				

9.	Citations	25
Appe	endix 1: P&WC information on engine over-temperature events	26
Appe	endix 2: Selected Civil Aviation Rules pertaining to parachute operations	27

# **Figures**

Figure 1 Schematic of PT6A-34 turboprop engine	6
Figure 2 The failed compressor turbine assembly	11
Figure 3 The compressor blade suspected to have failed first	11
Figure 4 The fracture surface of the suspect compressor turbine blade	12
Figure 5 Microscopic image of the trailing edge cross-section of the failed blade	15

# **Tables**

# Abbreviations

CAA	Civil Aviation Authority of New Zealand
Commission	Transport Accident Investigation Commission
ft	feet (30.5 centimetres)
MORE	MORE® Company Inc
Ng	the revolutions per minute of the compressor section of an engine
P&WC	Pratt & Whitney Canada
STC	supplemental type certificate

# Glossary

bale out	to use a parachute to abandon an aircraft in flight					
borescope	a flexible magnifying tool that can be inserted through openings in an engine to inspect internal parts					
creep	a detrimental effect on material properties, which is dependent on the material and the following parameters: time, temperature and stress. An increase in temperature or stress can result in a decreased time before creep occurs					
ditch	to make an emergency landing of an aircraft onto water					
hectopascal	international standard unit of pressure					
hot section	the turbine section (or 'module') of a gas turbine ('jet') engine					
Mayday	the internationally recognised message to indicate 'distress', which is defined as a condition of being threatened by serious and/or imminent danger and that requires immediate assistance					
supplemental ty	<ul> <li>a certificate that allows changes to:</li> <li>a type certificate category or type acceptance certificate category</li> <li>the type design</li> <li>the flight manual</li> <li>the operating limitations</li> </ul>					

- any special conditions prescribed on the type certificate or type acceptance certificate
- tandem pair a tandem pair in a parachute jump comprises the tandem 'master', who wears the parachute and has complete control of the jump, and a 'rider' whose harness is connected to that of the tandem master

# Data summary

### Aircraft particulars

	Aircraft registration:	ZK-SDT		
	Type and serial number:	Pacific Aerospace Limited 750XL, 122		
	Number and type of engines:	one Pratt & Whitney Canada PT6A-34 turboprop		
	Year of manufacture:	2005		
	Operator:	Skydive Taupo Limited		
	Type of flight:	parachuting		
	Persons on board:	13		
	Pilot's licence:	commercial pilot licence (aeroplane)		
	Pilot's age:	32		
	Pilot's total flying experience:	588 hours, including 14 hours on type		
Date and	d time	7 January 2015, 1216 <sup>1</sup>		
Location	I	Rotongaiō Bay, Lake Taupō		
		latitude: 38°48.076' south		
		longitude: 176°03.964' east		
Injuries		two minor		
Damage		aeroplane destroyed		

<sup>&</sup>lt;sup>1</sup> Times in this report are New Zealand Daylight Time (UTC+13 hours) and expressed in 24-hour format.

## 1. Executive summary

- 1.1. On 7 January 2015 a Pacific Aerospace Limited 750XL aeroplane was being used for tandem parachuting (or 'skydiving') operations at Taupō aerodrome. During the climb on the fourth flight of the day, the Pratt & Whitney Canada PT6A-34 engine failed suddenly. The 12 parachutists and the pilot baled out of the aeroplane and landed without serious injury. The aeroplane crashed into Lake Taupō and was destroyed.
- 1.2. The engine failure originated from a fatigue crack in a compressor turbine blade. The failed blade was one of a set of overhauled blades that had been installed 1,127 flight hours before the accident flight. The inspection interval for overhauled blades was 3,000 hours.
- 1.3. The turbine section of the engine had been inspected during scheduled maintenance 87 flight hours before the accident. The recommended procedure was to inspect both sides of the compressor turbine blades for any cracks, but it was very likely that only one side was inspected. It was not determined whether the fatigue crack existed and might have been visible at the time of that inspection.
- 1.4. It was fortuitous that none of the parachutists or the pilot landed in the lake. Although each tandem rider was wearing a lifejacket, the tandem masters would have had to rely on the buoyancy of their un-deployed main parachutes to stay afloat. The pilot had no flotation device.
- 1.5. The following **safety issues** were identified during the inquiry:
  - the measurement specifications for overhauled compressor turbine blades that had been through a strip and recoat repair process were not exactly the same as those for new blades. Blades that had been through that repair process, without verification of critical dimensions, might fail before the next 3,000-hour inspection
  - when aircraft maintenance staff do not refer to the current Instructions for Continuing Airworthiness for a task, perhaps because they are very familiar with the basic task and do not know that the task documentation has changed, safety might be compromised
  - parachute drop pilots were not required to wear lifejackets or flotation devices if their flights were expected to remain within gliding distance of land. That scenario downplayed the possibility of a pilot having to ditch an aeroplane or having to use the emergency parachute when over or near water.
- 1.6. The failed blade and two others sampled from the set were undersize in some dimensions, compared with new blades. The size discrepancy likely contributed to the fatigue failure. As a result of this occurrence, Pratt & Whitney Canada amended the measurement specifications for the acceptance of blades that had been through a strip and recoat repair process to better reflect the criteria for new blades in the trailing edge area.
- 1.7. Pratt & Whitney Canada and Pacific Aerospace also reviewed the contemporary operation of the 750XL aeroplane and PT6A-34 engine in the skydiving role against their original design assumptions. They found that, on average, operators were using higher engine power settings more frequently and for longer periods than had been assumed. As a result of these findings, Pratt & Whitney Canada will introduce an additional scheduled inspection for PT6A-34 engines that are used in single-engine installations.
- 1.8. The aeroplane operator (Skydive Taupo Limited) had maintained the engine in accordance with a maintenance programme that was a recognised alternative to that of Pratt & Whitney Canada. The operator had not completed the registration process with the programme supplier, but neither this oversight nor the alternative maintenance programme was a factor in the engine failure.

- 1.9. The pilot did not follow the operator's recommended procedure for baling out, which put himself and others at risk of serious injury. To reduce the likelihood of this hazard, the operator improved the emergency procedures training given to its pilots.
- 1.10. The Transport Accident Investigation Commission made a **recommendation** to Skydive Taupo to investigate options for equipping its parachute drop pilots with effective flotation devices.
- 1.11. The key lessons arising from this inquiry were as follows:
  - operators and pilots engaged in skydiving operations should assess carefully the risk of having to use an emergency parachute or landing in water, and ensure that they have the appropriate training and equipment. Pilots must have a thorough understanding of the care and use of emergency parachutes, as their lives depend on them
  - aircraft maintenance engineers should not commence any task related to airworthiness without ensuring that they have the current procedure and information necessary for the task
  - operators and maintenance providers should ensure that they comply fully with any registration or other requirement of approved supplemental type certificates.

# 2. Conduct of the inquiry

- 2.1. The Civil Aviation Authority (CAA) advised the Transport Accident Investigation Commission (Commission) of the accident at approximately 1330 on 7 January 2015. The Commission opened an inquiry under section 13(1) of the Transport Accident Investigation Commission Act 1990. Three investigators travelled to Taupō that afternoon to commence the investigation.
- 2.2. The pilot was interviewed on the day of the accident and on two later occasions. Other persons interviewed included the tandem masters and their passengers, Skydive Taupo Limited's (the operator's) chief executive and chief pilot, maintenance engineers and some eyewitnesses.
- 2.3. Police divers completed an underwater video survey of the wreckage and recovered some small items on 8 January 2015. All of the wreckage was removed on 9 January 2015 and stored initially at Taupō aerodrome, before being taken to the Commission's wreckage examination and storage facility near Wellington.
- 2.4. On 8 January 2015 an investigator from the Transportation Safety Board of Canada was appointed as the accredited representative of Canada, being the state of the engine manufacturer.<sup>2</sup> The accredited representative appointed Pratt & Whitney Canada (P&WC) to be an adviser.
- 2.5. On 14 January 2015 the engine was shipped to the P&WC facility in Quebec, Canada. The engine was examined by P&WC under the supervision of Canada's accredited representative, on behalf of the Commission, over the period 10-11 March 2015. After evidence was found of fatigue failure of a compressor turbine blade, the compressor turbine assembly was sent to the P&WC materials laboratory, where more extensive examinations were conducted in May 2015. On 25 June 2015 the Commission received a report from P&WC on the engine and the laboratory examinations. The Transportation Safety Board of Canada reviewed the P&WC laboratory report for the Commission.
- 2.6. On 27 July 2015 an investigator of the Australian Transport Safety Bureau was appointed as the accredited representative of Australia. That investigator made enquiries, on behalf of the Commission, at the Australian facility where the compressor turbine assembly had been inspected and the blades replaced in October 2013.
- 2.7. On 20 August 2015 an investigator of the National Transportation Safety Board was appointed as the accredited representative of the United States in order to make enquiries in that country, on behalf of the Commission, at the facility where the replacement blades had been overhauled.
- 2.8. On 23 February 2017 the Commission approved a draft report for circulation to interested persons for their comment.
- 2.9. Written submissions were received from Skydive Taupo, Pacific Aerospace Limited, Pratt & Whitney Canada, MORE Company Inc and the Civil Aviation Authority. The Commission considered the submissions, and changes as a result of those submissions have been included in the final report.
- 2.10. On 28 June 2017 the Commission approved the final report for publication.

<sup>&</sup>lt;sup>2</sup> In accordance with a provision of Annex 13 to the Convention on International Civil Aviation.

# 3. Factual information

#### 3.1. The accident flight

- 3.1.1. On Wednesday 7 January 2015 a Pacific Aerospace Limited 750XL aeroplane, registered ZK-SDT (the aeroplane), was being used for commercial skydiving at Taupō aerodrome. The skydiving operator held an air operator's certificate issued by the CAA under Civil Aviation Rules Part 115, Adventure Aviation.
- 3.1.2. The day was fine with light winds. The air temperature was 22°C and the sea level pressure 1,018 hectopascals. At approximately 1215 the aeroplane departed with six tandem pairs and a pilot (the pilot) on board.<sup>3</sup> This was the fourth flight that day, all of them flown by the same pilot. The operator's records showed that the weight and balance for all of the flights were within the flight manual limits.
- 3.1.3. After take-off from runway 17, the aeroplane climbed straight ahead along the shore of Lake Taupō. When the aeroplane was approximately 2,100 feet (ft) above the lake<sup>4</sup>, a loud bang came from the engine and sparks emitted from the engine exhaust. The propeller stopped quickly. The pilot shut down the engine, put the aeroplane into a glide and broadcast a 'Mayday' radio call.<sup>5</sup>
- 3.1.4. The tandem master who was seated nearest to the pilot quickly assessed that baling out would be safer for the parachutists than a forced landing, and shouted "Get out!" Each tandem master then had to ensure that they were attached correctly to their rider before they shuffled to the cabin rear door and baled out.<sup>6</sup>
- 3.1.5. The pilot looked in the cockpit rear-view mirror that showed the cabin interior. Sure that all the tandem pairs had baled out, he then exited through the cockpit door adjacent to his seat. However, two parachutists were still on board.
- 3.1.6. The last tandem pair was about to exit when the tandem master saw parachute lines obstructing the exit. He hesitated, and saw the pilot hit the top of the wing, forward of the cabin door, then fall away. The parachutists then left the aeroplane.
- 3.1.7. All of the occupants landed on dry land by the lake shore, with two receiving minor injuries.
- 3.1.8. The aeroplane struck the water at high speed, approximately 150 metres offshore and six kilometres from the aerodrome. It broke into four main sections: the engine and propeller, the fuselage with the left wing attached, the right wing, and the tail.
- 3.1.9. Other pilots heard the Mayday call and many witnesses saw the accident. As a result, emergency services were notified promptly.

<sup>&</sup>lt;sup>3</sup> A tandem pair in a parachute jump comprises the tandem 'master', who wears the parachute and has complete control of the jump, and a 'rider' whose harness is connected to that of the tandem master by four clips during the actual jump.

<sup>&</sup>lt;sup>4</sup> The Taupō aerodrome is 1,335 ft above mean sea level, approximately 160 ft above the lake elevation of 1,172 ft. Imperial units are still used for vertical distances in civil aviation.

<sup>&</sup>lt;sup>5</sup> 'Mayday' is the internationally recognised message to indicate 'distress', which is defined as a condition of being threatened by serious and/or imminent danger and which requires immediate assistance.

 $<sup>^{\</sup>rm 6}$  To bale out is to use a parachute to abandon an aircraft in flight.

#### 3.2. Personnel information

The pilot

- 3.2.1. The pilot held a current commercial pilot licence (aeroplane), issued in 2011, and a valid Class 1 medical certificate with no conditions, restrictions or endorsements. He had nearly 600 hours of flying time, including 14 hours on the 750XL.
- 3.2.2. The pilot had had some initial training on the 750XL in November 2014, during which time he had been issued with a parachute drop pilot rating.<sup>7</sup> The drop rating was not specific to an aircraft type.
- 3.2.3. The chief pilot of the operator had hired the pilot on 5 January 2015. Over the next two days, the pilot had flown six hours of skydiving flights as pilot-in-command under the supervision of the chief pilot. On 6 January 2015 the pilot had been issued with a 750XL type rating and completed an initial crew competency check with the operator.
- 3.2.4. The engine failure occurred on the fourth flight of the pilot's first day of flying without direct supervision.

#### Tandem masters

3.2.5. The operator employed tandem masters with a minimum of 750 parachute descents. The six tandem masters on the accident flight had individual experience of between 3,800 descents and 10,000 descents.

#### 3.3. Aircraft information

- 3.3.1. The Pacific Aerospace 750XL aeroplane is manufactured in New Zealand. It was certificated by the CAA in 2003, initially for the skydiving role, carrying up to 17 individual parachutists.<sup>8</sup> More than 100 of the type have been manufactured in skydiving, utility, agricultural and passenger versions.
- 3.3.2. The aeroplane met the United States Federal Aviation Regulations Part 23, Airworthiness Standards for "normal, utility, acrobatic and commuter category airplanes". There were no requirements in those standards that concerned ditching.<sup>9</sup> The aeroplane flight manual warned that "the ditching characteristics of the aeroplane [were] unknown" (Pacific Aerospace Limited, pp. 3-15). The only known ditching of a 750XL had had a fatal outcome.<sup>10</sup>
- 3.3.3. The standard engine is the P&WC PT6A-34 turboprop (see Figure 1). The airflow through the engine is from back to front. The air passes through a four-stage compressor section, is combined with fuel and burnt in the combustion chamber. The combustion gases pass through two turbines. The first is the compressor turbine, which drives the compressor section and the accessories gearbox at the rear of the engine. The second turbine is the power turbine, which drives the propeller through a reduction gearbox.

<sup>&</sup>lt;sup>7</sup> Civil Aviation Rule 91.705(a) required the pilot in command of an aircraft performing a parachute drop operation to hold a parachute drop pilot rating issued under Civil Aviation Rules Part 61.

<sup>&</sup>lt;sup>8</sup> Refer to 750XL flight manual Supplement 5, Installation of Parachuting Kit.

 $<sup>^{\</sup>rm 9}$  To ditch is to make an emergency landing of an aircraft onto water.

<sup>&</sup>lt;sup>10</sup> CAA aircraft accident report 03/3794, ZK-UAC, Pacific Ocean, 26 December 2003.



Figure 1 Schematic of PT6A-34 turboprop engine

(Pacific Aerospace Limited, 2003)

- 3.3.4. The accident aeroplane had been manufactured in June 2005 in the agricultural version. The operator had acquired it in 2009 and had it converted for skydiving. The operator had replaced the originally installed engine with another PT6A-34 that had an operational and maintenance history known to the operator. This engine had been manufactured in August 2002.
- 3.3.5. The previous scheduled maintenance was a 150-hour/400-hour maintenance check carried out between 30 November 2014 and 9 December 2014.

#### Alternative engine maintenance programme

- 3.3.6. The engine had been maintained, initially, in accordance with the P&WC Maintenance Programme, which specified 4,000 hours between engine overhauls. In November 2009 the operator had purchased the right to use a supplemental type certificate (STC)<sup>11</sup> of MORE® Company Inc (MORE) of the United States as the engine maintenance programme.<sup>12</sup>
- 3.3.7. MORE described the benefits of its programme as follows (MORE Company Inc., 2004, p. 9):

The MORE® Instructions for Continued Airworthiness use the P&WC maintenance manual as a foundation and then add several additional inspection methods: periodic borescope<sup>13</sup> inspection of the hot [turbine] section, periodic inspection of the compressor and exhaust duct areas, periodic Power Plant-Adjustment/Test (to monitor engine performance), periodic spectrometric oil analysis, periodic oil filter debris analysis, periodic vibrational analysis, etc.

The purpose of this aggressive inspection system is to find problems in their early stages ... Early correction of engine problems will lead to an increase in engine durability, an increase in engine longevity, and an increase in engine safety; which in

<sup>&</sup>lt;sup>11</sup> A supplemental type certificate is a certificate that allows changes to: a type certificate category or type acceptance certificate category; the type design; the flight manual; the operating limitations; and any special conditions prescribed on the type certificate or type acceptance certificate.

<sup>&</sup>lt;sup>12</sup> The Federal Aviation Administration had first approved STC number SE00002EN in 1994. The STC had been re-issued on 6 March 2009.

<sup>&</sup>lt;sup>13</sup> A borescope is a flexible magnifying tool that can be inserted through openings in an engine to inspect internal parts.

turn will allow an increase in the engine overhaul interval and long term cost savings to the operator.

- 3.3.8. The MORE programme superseded or added to some P&WC requirements for turbine (or 'hot section'<sup>14</sup>) inspection and overhaul intervals<sup>15</sup>, but did not extend the turbine and compressor service lives, which remained at 8,000 hours.<sup>16</sup> The MORE Instructions for Continued Airworthiness included the following inspections, among others:
  - oil filter debris analysis and oil sample analysis every 150 hours
  - borescope inspection of the hot section and propeller balancing every 450 hours.
- 3.3.9. An operator that wished to maintain an engine under the MORE programme would purchase the right, following which MORE would provide a customised maintenance programme manual. The manual explained the registration process that the operator should complete within 30 days. Part of the process was a detailed 'initial entry inspection' of the engine. A registration was not completed and the STC could not be used until MORE sent the operator a certificate.
- 3.3.10. The United States considered a change to a maintenance programme to be a major modification. The MORE initial entry inspection checklist included a requirement to submit a Form 337, with details of the modification, to the aviation regulator, the Federal Aviation Administration. The CAA had a similar requirement and used the same form number for advising major modifications.
- 3.3.11. When the operator purchased the STC in 2009, it did not complete the registration process within the required 30 days. The initial entry inspection of the engine had been completed on 30 November 2011, but the inspection checklist noted against the Form 337 requirement, "Not yet done. Await owner to get aircraft on maintenance programme with CAA". The CAA had no record of having received the Form 337. MORE had not been sent the required documents and no certificate had been issued for the engine. No-one at the operator or MORE recognised this until after the engine failure.
- 3.3.12. Although the MORE registration had not been completed, the operator complied with all of the programme's inspection tasks and schedules as if it had been. There had been no revisions to the programme between the assumed registration in 2009 and January 2015. The required inspections and oil analyses had not shown any abnormal engine wear or trend.
- 3.3.13. Between the initial entry inspection on 30 November 2011 for the MORE ® programme and 7 January 2015 the aeroplane had flown 2,250 hours.

#### Compressor turbine overhaul

3.3.14. P&WC Service Bulletin 1403 required inspections of compressor turbine disks and blade sets at the following intervals:

(a) Compressor turbine disk with full set of new blades installed at last shop visit, inspect within 5,000 hours.

(b) Compressor turbine disk with full or partial set of previously run compressor turbine blades installed, inspect within 3,000 hours since last compressor turbine blade inspection.

3.3.15. The turbine inspection process required the replacement of the blades with a set of new or overhauled blades. The overhaul process included cleaning and inspecting the blades using

<sup>&</sup>lt;sup>14</sup> A hot section is the turbine section (or 'module') of a gas turbine ('jet') engine.

<sup>&</sup>lt;sup>15</sup> Namely P&WC Service Bulletins 1303, 1403, 1703 and 1803.

<sup>&</sup>lt;sup>16</sup> As specified in P&WC Service Bulletins 1002 and 1302.

non-destructive methods, checking for blade stretch (usually referred to as creep)<sup>17</sup> and the sacrificial (destructive) testing of two blades from the set. The set would be scrapped if the sacrificial blades failed the test. Otherwise, blades that met the inspection criteria could be re-used without further work.<sup>18</sup> If repair were required, the protective thermal coating would be stripped, the repair carried out, and a new coating applied.

- 3.3.16. In compliance with the above service bulletin, the compressor turbine module was removed for inspection in May 2013 at 4,974.25 engine hours since new. The module and associated components were sent to an approved engine overhaul facility in Australia, along with a replacement set of 56 overhauled compressor turbine blades to be installed after the inspection.<sup>19</sup>
- 3.3.17. The replacement blades (part number 3120751-01) had been obtained from an approved New Zealand supplier. That company had obtained them from a company in the United States that was the only facility approved by P&WC to overhaul compressor turbine blades. The blades had the appropriate Authorised Release Certificate from the United States (Federal Aviation Administration Form 8130-3).
- 3.3.18. The Australian facility installed the 56 overhauled blades and two new blades into the turbine disk, and issued the appropriate Authorised Release Certificates for the work done.
- 3.3.19. The operator's maintenance provider had reassembled the turbine module and reinstalled the engine into the aeroplane. The aeroplane had returned to service on 22 May 2013.
- 3.3.20. The overhauled turbine blades had operated for 1,127.9 hours before the engine failed on 7 January 2015.

#### Operator's engine handling policy

- 3.3.21. Prior to August 2014 the operator had not used the maximum engine (torque and interturbine temperature) settings for take-off and climb that were permitted by the flight manual (see Table 1). The operator had initially chosen to use lower power settings for its 750XL aeroplanes in order to reduce turbine thermal distress, which meant that turbine module components would be more likely to achieve the published times between mandatory overhauls.
- 3.3.22. In spite of this conservative strategy, the operator had had to replace the hot section of another engine before the published overhaul time because of turbine blade creep. As a result, and after conferring with other skydiving operators who used the 750XL, the operator had raised the engine torque setting for take-off (see Table 1), but not the turbine temperature setting. The operator had considered that this change would improve the commercial operation of the aeroplane, in part because a higher take-off power would give a quicker take-off and allow an earlier torque reduction for the climb, the flight phase that comprised the majority of each flight.
- 3.3.23. The pilot estimated that the engine power settings immediately before the engine failure had been a torque of 62 pounds per square inch and a turbine temperature of around 730°C. The propeller revolutions per minute limit of 2,006 was observed at all times.

<sup>&</sup>lt;sup>17</sup> Creep is a detrimental effect on material properties, which is dependent on the material and the following parameters: time, temperature and stress. An increase in temperature or stress can result in a decreased time before creep occurs.

<sup>&</sup>lt;sup>18</sup> P&WC advised that there was no life limit for compressor turbine blades.

<sup>&</sup>lt;sup>19</sup> The compressor turbine assembly had 58 blades. Two blades from every set sent for inspection and overhaul were sacrificed for metallurgical analysis as part of the overhaul process. Therefore, two new blades were added to the set when it was returned.

	Operator's initial power settings		Flight manual limits		Operator's power settings after August 2014	
Torque or temperature setting	Torque (pounds per square inch)	Inter-turbine temperature (°C)	Torque (pounds per square inch)	Inter-turbine temperature (°C)	Torque (pounds per square inch)	Inter-turbine temperature (°C)
Take-off (not to exceed 5 minutes)	54	730	64.5	790	64	730
Maximum climb	54	730	54	740	54	730

Table 1 Operator's power settings compared to flight manual limits

#### Recorded data

- 3.3.24. No flight data recorder was fitted to the aeroplane, nor was one required to be fitted.
- 3.3.25. A GPS tracking unit was installed in the aeroplane to record the aeroplane's position, course, speed and altitude. Every 25 seconds the current data was sent as a mobile phone text message to the operator's base. The retrieved data matched the pilot's recollection of the flight path on the accident flight.
- 3.3.26. An optional data acquisition alarm monitor, which recorded some engine parameters such as torque and turbine temperature, was installed in the aeroplane. A display on the cockpit instrument panel provided visual and aural alerts in the event of a parameter exceedance. The pilot said there was no alarm during the accident flight.
- 3.3.27. The memory module of the data acquisition alarm monitor was mounted on the forward face of the engine firewall. The module was found, but the crash had dislodged the memory chip, which was not found.
- 3.3.28. The operator had downloaded the recorded engine data periodically to monitor the engine condition and performance trends. A separate investigation by the CAA after the accident found that the operator had not been using the system effectively to enable reliable trend monitoring.<sup>20</sup> As a result, the maintenance provider amended its procedures and training.
- 3.3.29. The flight manual noted that the electronic Ng<sup>21</sup> display also stored the peak value of Ng since electrical power was last applied. The engine instruments that were of the same design were sent to their manufacturer in the United States<sup>22</sup> to recover the stored data. No useful data was obtained. The instrument manufacturer later advised that the instruments took a 'snapshot' of data at pre-set time intervals, and a parameter was only recorded as the peak value if its value at that time was greater than the previous peak for three seconds or longer. A peak value was not necessarily an exceedance of a flight manual limit. The instruments did not record parameter values at the time they lost electrical power. Pacific Aerospace was advised of this corrected information.

<sup>&</sup>lt;sup>20</sup> CAA Safety Investigation Unit, Aircraft Accident, ZK-SDT Pacific Aerospace 750XL, Taupo, 7 January 2015.

<sup>&</sup>lt;sup>21</sup> Ng is the revolutions per minute of the compressor section of the engine.

<sup>&</sup>lt;sup>22</sup> Electronics International.

#### 3.4. Medical and pathological information

- 3.4.1. The operator had a drug and alcohol policy as required by Civil Aviation Rules Part 115, Adventure Aviation. That policy required the responsible manager to consider the need to test crew members after any incident. In this case the manager decided that the tandem masters could not have contributed to an engine failure, so they were not tested.
- 3.4.2. The manager tested the pilot for alcohol immediately after he arrived back at the aerodrome. The test was negative. A person certified for drug screening and collection tested the pilot later that day. That test was negative.

#### 3.5. Tests and research

- 3.5.1. Between 10 March and 11 March 2015 the engine was examined at the P&WC plant in Canada under the supervision of the accredited representative of Canada. There was no sign of foreign object damage to the engine, or of pre-impact thermal stress in the turbine ('hot') section. The damage was consistent with the engine having been not rotating at impact.
- 3.5.2. All of the blades of both turbines were fractured. A visual examination identified one compressor turbine blade that was suspect (the arrowed blade in Figure 2), because it had a relatively flat fracture surface. The mark 'R1' (reworked once) on the platform of the blade showed that it had been stripped and recoated previously (see Figure 3). Therefore it was very likely that the blade (and the others in the replacement set) had been in service for at least 6,100 hours.<sup>23</sup> Turbine blades are not identified individually and can be re-used as long as they meet the inspection/repair acceptance criteria. The total time in service for the blades was not determined.
- 3.5.3. A microscopic examination found that the fracture surfaces of all of the compressor turbine blades, except the suspect blade, were characteristic of overload. The fracture surface of the suspect blade had two distinct zones: one characteristic of fatigue towards the trailing edge; and one characteristic of overload (see Figure 4). There was no metallurgical anomaly in the trailing edge zone that could have initiated the fracture.
- 3.5.4. The trailing edge radius of the suspect blade was less than the dimension specified for a new blade. Measurements of other blades from the set found that "potentially other blades from the overhauled blades had under minimum [trailing edge] thickness and/or [trailing edge] radius" (P&WC, 2015).
- 3.5.5. The examination determined that the engine failure was initiated by the fracture of a compressor turbine blade. The P&WC investigation report said, in part (P&WC, 2015, p. 30):

One compressor turbine blade fractured by fatigue initiating at the trailing edge tip of the [blade], propagating towards the leading edge until final fracture by tensile overload.

The under minimum trailing edge radius may have contributed to the fatigue crack initiation.

3.5.6. The compressor turbine blades were overhauled at an approved United States facility that was an associated company of P&WC. The strip and recoat process used by the supplier was approved by P&WC and complied with its aftermarket specification.

<sup>&</sup>lt;sup>23</sup> This figure is the nominal 5,000-hours' inspection interval for new blades plus the 1,128 hours since this blade set had been installed during the May 2013 compressor turbine module overhaul.



Figure 2 The failed compressor turbine assembly (Suspect blade arrowed. Source: P&WC Service Investigation Report)



Figure 3 The compressor blade suspected to have failed first ('R1' rework mark circled)

(Source: P&WC Service Investigation Report)



Figure 4 The fracture surface of the suspect compressor turbine blade

(Showing distinct fatigue and overload fractures. Source: P&WC Service Investigation Report)

#### 3.6. Mission profile review

- 3.6.1. Aircraft and engine manufacturers collaborate in defining the intended use, or 'mission profile', of each aircraft-engine combination. The mission profile affects the physical design, the engine power requirements, and the frequency and content of scheduled maintenance.
- 3.6.2. P&WC explained that component life and durability are predicated on operators adhering to the design mission profile, which takes into account factors such as flight duration and power demands. Significant long-term operation above standard mission parameters undermines the designers' assumptions and can lead to reduced lives for components and the aircraft. In practice, operators are unlikely to know the parameters of the design mission profile.
- 3.6.3. In 2015 P&WC, in conjunction with Pacific Aerospace, reviewed the engine handling procedures of nine skydiving operators in seven countries, including New Zealand, that used the 750XL with the PT6A-34 engine. The review produced an average mission profile that P&WC compared with the mission profile that was originally specified.<sup>24</sup>
- 3.6.4. The review found that skydiving operators typically operated their engines at the flight manual inter-turbine temperature limits for take-off and climb. Exceedances can occur during the climb, especially on hot days, when pilots operate to the torque limits and do not respect the inter-turbine temperature limits. At a given torque setting the inter-turbine temperature increases with altitude and could exceed the temperature limit. The review also found that engines were being operated at the high end of the operating limits for 72% of the average mission duration.
- 3.6.5. As a result of the review, P&WC advised that it would revise the maintenance procedures for single-engine applications of the PT6A-34 engine in the skydiving role.<sup>25</sup>

<sup>&</sup>lt;sup>24</sup> Pacific Aerospace advised that this was four jumps per hour from 10,000 ft.

<sup>&</sup>lt;sup>25</sup> P&WC planned to incorporate the revision into the PT6A engine maintenance manual in April 2017.

#### 3.7. Other information

#### Type certificate anomalies

- 3.7.1. In its separate investigation of this accident (see paragraph 3.3.26), the CAA identified an anomaly with the aircraft type certificate that it issued for the 750XL. The anomaly arose because of dual certification of the PT6A-34 engine by Canada and the United States. The 750XL is manufactured with a PT6A-34 engine. Although the CAA had accepted only the Canadian certification for all PT6A-series engines, it certificated the 750XL with reference to the United States engine certification. The CAA took internal action to correct this anomaly.
- 3.7.2. A further anomaly concerned the CAA's acceptance of the MORE® maintenance programme STC for the 750XL. The STC is held by a United States company and approved for the PT6A-34 engine certificated by the United States. Canada had not approved the STC for use with PT6A-34 engines certificated by Canada. Further investigation led to the identification of other potential anomalies with approved engine STCs. The CAA took action to correct these.

## 4. Analysis

#### 4.1. What happened

- 4.1.1. A fractured compressor turbine blade caused the engine failure. The fracture originated in a fatigue crack in the trailing edge of the blade. The blade, one of a set of overhauled blades, had been operated for less than half the time before the next inspection was due in accordance with Service Bulletin 1403 (see paragraph 3.3.14).
- 4.1.2. The engine failure occurred at a low height and over terrain that did not offer favourable options for a forced landing. As all of the occupants were wearing parachutes, baling out was the most appropriate action to take. The experience of the tandem masters contributed to a successful evacuation.
- 4.1.3. The pilot unwittingly jeopardised the evacuation by baling out through his cockpit window rather than from the cabin rear door, and by deploying his parachute immediately. His actions were very likely due to natural anxiety and also, in part, to inadequate training in emergency procedures by the operator.

#### Safety issues

- 4.1.4. Turbine engines, in general, are extremely reliable, which has led to their acceptance and approval for an increasing number of commercial single-engine-aircraft operations. However, a turbine blade failure will usually cause other blade failures on that turbine disk. Blade fragments will destroy downstream turbine stages, leading to total engine failure. Therefore turbine reliability is important for aviation safety.
- 4.1.5. The following safety issues were identified during this inquiry:
  - the measurement specifications for overhauled compressor turbine blades that had been through a strip and recoat repair process were not exactly the same as those for new blades. Blades that had been through that repair process, without verification of critical dimensions, might fail before the next 3,000-hour inspection
  - when aircraft maintenance staff do not refer to the current Instructions for Continuing Airworthiness for a task, perhaps because they are very familiar with the basic task and do not know that the task documentation has changed, safety might be compromised
  - parachute drop pilots were not required to wear lifejackets or flotation devices if their flights were expected to remain within gliding distance of land. That scenario downplayed the possibility of a pilot having to ditch an aeroplane or having to use the emergency parachute when over or near water.

#### 4.2. Premature failure of the compressor turbine blade

**Safety issue:** The measurement specifications for overhauled compressor turbine blades that had been through a strip and recoat repair process were not exactly the same as those for new blades. Blades that had been through that repair process, without verification of critical dimensions, might fail before the next 3,000-hour inspection.

- 4.2.1. The examination of the engine by P&WC identified the compressor turbine blade that had fractured first and caused the engine failure. The blade fracture surface had distinct fatigue and overload zones. Due to the damage, only approximate measurements of the blade dimensions in the fatigue zone could be performed. These showed that the radius of the trailing edge, after allowing for the thermal coating applied to blades, was less than that specified for a new blade.
- 4.2.2. Measurements were also taken of the remnants of six other overhauled blades and one of the two blades that had been new when installed in May 2013. One of the overhauled blades was under-size in trailing edge thickness and radius (see Figure 5), and two more

were at the minimum limit for one or other dimension. The dimensions of the blade that was new when installed in May 2013 met the required specifications.



Figure 5 Microscopic image of the trailing edge cross-section of another sampled blade

(With measurements of trailing edge thickness and radius. Source: P&WC Service Investigation Report)

- 4.2.3. During the overhaul, the blade had required repair in accordance with the P&WC-approved supplier process MPP1, based on document 725009-SRR-001. This document did not require the measurement of repaired blades in all of the dimensions specified by P&WC for the acceptance of new blades, although there had always been a verification of the minimum trailing edge thickness for blades that had been through a strip and recoat process.
- 4.2.4. Engine turbine blades must endure very high temperatures and centrifugal loads; therefore the blades are manufactured to strict specifications. Under-size blades will erode or stretch, which can lead to their failing before reaching the next inspection. Of the eight compressor turbine blade remnants sampled from this set, two were under-size and another two were at the minimum size for a critical dimension.
- 4.2.5. An operator will usually make a commercial decision on whether to install new or overhauled turbine blades. However, the use of overhauled components, or the introduction of an engine overhaul extension programme like this STC, could introduce new risks for an operator. It is a safety issue that the specifications for compressor turbine blades that had been through a strip and recoat process were not as well defined as those for new blades, and that overhauled blades might fail to reach the stated inspection intervals. The issue was of particular importance to operators of single-engine aircraft.
- 4.2.6. P&WC noted that dimensional differences could arise for blades that had been repaired during overhaul: that is, had been stripped, repaired and then recoated. It submitted that blade durability after that process had been acceptable, as shown by [past] field experience.
- 4.2.7. P&WC subsequently revised Repair Requirement Document 725009-SRR-001, for all of its PT6 engines, so that the trailing edge thickness measurement requirements matched those for new compressor turbine blades.
- 4.2.8. When asked about the implications of this investigation for other engines that were presently in service with overhauled compressor turbine blades, P&WC replied that further intervention would not improve fleet reliability. Its response was, in part:

Pratt & Whitney Canada has assessed the risk of further occurrences of this nature on the basis of the number of events and the time accrued on similarly repaired components over the service history of the affected fleet. Future risk of significant events related to fracture of under-dimensional, recoated blades was determined to be well within acceptable parameters (as defined by available Guidance Material). Additional field intervention would be deemed to be neither effective nor warranted at this point with respect to measurably improving fleet reliability.  $^{\rm 26}$ 

- 4.2.9. The flight manual has operating limits for engine speed and temperature, which pilots must observe in order to protect the engine from sudden or cumulative damage that will shorten the lives of turbine components. In general, the higher the power settings, the shorter the turbine life. The mission profile review conducted by P&WC and Pacific Aerospace found that skydiving operators tended to have a higher frequency of operating cycles<sup>27</sup> than was originally assumed, and that would also affect directly the lives of engine components.
- 4.2.10. Approximately 15 months after the overhauled turbine module was installed, the operator raised the take-off torque setting but retained the turbine temperature limit. Both settings remained at or below the flight manual limits. The operator's engine handling procedure, even allowing for the high frequency of operating cycles in the skydiving role, would not have affected unduly the durability of the compressor turbine blades had all of the blades conformed to the correct specifications for acceptance after repair.

#### Findings

- 1. The first compressor turbine blade failed after a fatigue crack, which had begun at the trailing edge, propagated towards the leading edge. The blade finally fractured in tensile overload. The separated blade fragment caused other blades to fracture and the engine to stop.
- 2. The fatigue crack in the trailing edge of the blade was likely initiated by the trailing edge radius having been below the specification for a new blade.
- 3. The P&WC Repair Requirement Document 725009-SRR-001, at the time the blades were overhauled, had generic requirements for trailing edge thickness inspections but did not specify a minimum measurement for the trailing edge radius.
- 4. The higher engine power settings used by the operator since August 2014 were within the flight manual limits. Therefore it was unlikely that the operator's engine handling policy contributed to the engine failure.

#### 4.3. Maintenance practices

**Safety issue:** When aircraft maintenance staff do not refer to the current Instructions for Continuing Airworthiness for a task, perhaps because they are very familiar with the basic task and do not know that the task documentation has changed, safety might be compromised.

#### Engine inspections

4.3.1. In 2003 P&WC had amended an inspection procedure to improve the early detection of cracks in the compressor turbine blades.<sup>28</sup> The original procedure had called for a borescope to be inserted into the fuel nozzle port in the combustion module casing to look for heat distress on the upstream<sup>29</sup> side of the compressor turbine disk. The amendment recommended that the borescope be inserted through the exhaust duct also, to inspect the downstream side of the disk and the trailing edge of the turbine blades. The lower, overlapping, part of the compressor turbine blades, where cracks had been found in other engines, could be inspected only by viewing the blades from the exhaust port. The amended

<sup>&</sup>lt;sup>26</sup> Email, P&WC, 9 March 2016.

<sup>&</sup>lt;sup>27</sup> An operating cycle is one take-off and landing.

<sup>&</sup>lt;sup>28</sup> P&WC Service Information Letter PT6A-116.

<sup>&</sup>lt;sup>29</sup> Upstream is in reference to the direction of gas flow through the engine, which, for the PT6 series of engines, is from back to front.

procedure had been first added to the engine maintenance manual in 2006. The Service Letter had been revised and reissued in July 2013.

- 4.3.2. Since 2004, P&WC had issued further Service Information Letters and Service Bulletins to draw attention to the detrimental effects of engine over-temperature events on the turbine section, and how careful engine handling and proper maintenance procedures could prevent this damage. Appendix 1 has a summary of the information provided by P&WC.
- 4.3.3. The engine failure occurred 87 flight hours after the previous scheduled maintenance, which included a borescope inspection of the compressor turbine blades. However, when engineers of the maintenance provider were inspecting other PT6A-34 engines soon after the accident, the P&WC representative observed that the engineers did not follow fully the borescope requirement to inspect the downstream (forward) side of the compressor turbine disk.
- 4.3.4. P&WC did not attempt to estimate the rate of propagation of the fatigue crack in the compressor turbine blade. Therefore it is not known whether the crack could have been present, and potentially detectable, at the time of the scheduled borescope inspection. The P&WC representative suggested that a borescope operator ought to be able to see a crack of five millimetres or more in length. P&WC informed the Commission that the published 300-hour inspection interval remained satisfactory for detecting cracks in compressor turbine blades.
- 4.3.5. The Commission has discussed previously the issue of staff relying on their memory or habitual procedures when performing maintenance, and not referring to and following current procedures in a manual.<sup>30</sup> Manufacturers publish many amendments to manuals and Instructions for Continuing Airworthiness, of which some might not reach 'frontline' staff. If staff do not check that they are using the current procedures for tasks related to airworthiness, the intent of the procedures can be undermined and safety compromised.
- 4.3.6. The maintenance provider has confirmed, since the accident, that it conducts fully the inspection requirements of the engine maintenance manual. It has also chosen not to use overhauled compressor turbine blades in the future.

#### Skydiving mission profile

4.3.7. Following the review of the skydiving mission profile, P&WC gave attention to the continued airworthiness of applicable engines. It advised that its analysis had determined that there was a need to improve some maintenance requirements. It said, in part:

Repetitive short missions with the majority of its length in the high end of the allowed power ratings reinforces the need to introduce better creep resistant compressor turbine blades ... for skydiving missions. For engines pre-SB1690 configuration used in skydive operation, a [hot section inspection] requirement will be added to improve compressor turbine blade reliability to reach [stated time between overhaul].<sup>31</sup>

#### The MORE registration process

4.3.8. Although the operator had purchased the right to use the MORE maintenance programme, MORE did not know that the operator was doing so, because registration of the engines had not been completed. Therefore MORE would not have advised the operator of changes to the programme. If a maintenance provider were not aware of changes to an engine maintenance programme, the continuing airworthiness of that engine could be affected.

<sup>&</sup>lt;sup>30</sup> For example, see Report 11-004, Piper PA31-350 Navajo Chieftain, ZK-MYS, landing without nose landing gear extended, Nelson Aerodrome, 11 May 2011.

<sup>&</sup>lt;sup>31</sup> Email, Pacific Aerospace, 10 March 2016. SB1690 is Service Bulletin 1690; see Appendix 1, paragraph 3.

- 4.3.9. In this case there had been no changes to the MORE programme for the engine between the operator's assumed registration in 2009 and the day of the accident. Fortunately, if there had been changes, the maintenance provider would have been aware of them, because it maintained another 750XL engine of the operator under the same STC.<sup>32</sup>
- 4.3.10. MORE had 12 engines (on nine aeroplanes) in New Zealand registered for this STC. As at June 2015 the register was more than 50% inaccurate as to aeroplane type and registration. One likely reason was that operators did not always advise sales of participating engines to MORE, as required by the STC.
- 4.3.11. Compliance with the registration and applicable requirements of an approved STC is a condition for the continuing airworthiness of an aircraft or product.

#### Findings

- 5. The operator had maintained the engine in accordance with an approved, alternative maintenance programme, but the registration of the engine into that programme had not been completed. The administrative oversight did not affect the reliability of the engine or contribute to the blade failure.
- 6. It was likely that the maintenance provider had not followed fully the engine manufacturer's recommended procedure for inspecting the compressor turbine blades. It could not be determined whether the crack might have been present, and potentially detectable, at the most recent borescope inspection.

#### 4.4. Survivability aspects

**Safety issue:** Parachute drop pilots were not required to wear lifejackets or flotation devices if their flights were expected to remain within gliding distance of land. That scenario downplayed the possibility of a pilot having to ditch an aeroplane or having to use the emergency parachute when over or near water.

#### **Tandem pairs**

- 4.4.1. The last tandem pair to leave the aeroplane estimated that the bale-out took up to 20 seconds. There was some delay after the call to "Get out!", caused by tandem masters having to ensure that they were attached to their riders correctly and by one pair having to release their aeroplane restraint.<sup>33</sup> The last tandem pair had to wait for the pilot and his deployed chute to clear the rear door.
- 4.4.2. The tandem masters estimated that they had all left the aeroplane by about 1,500 ft above the lake, deploying their reserve parachutes by 1,000 ft. Parachuting rules required reserve parachutes to be deployed for tandem jumps from less than 5,000 ft above ground, because the reserve parachutes inflated more rapidly than main parachutes.
- 4.4.3. Each of the tandem riders was equipped with a lifejacket<sup>34</sup>, but the operator did not require the tandem masters to wear lifejackets because the operator expected that, under normal circumstances, the reserve parachutes would provide sufficient buoyancy. Following the accident the operator provided its tandem masters with flotation devices.

<sup>&</sup>lt;sup>32</sup> The operator had fulfilled the MORE® registration requirements for the other 750XL in June 2010. <sup>33</sup> Restraint belts were fitted even though Civil Aviation Rule 91.505(b) exempted a person engaged in

parachute operations from the requirement to have a seat, berth, safety belt or restraining belt. <sup>34</sup> As required by Civil Aviation Rule 105.57.

#### The pilot

- 4.4.4. Parachute drop pilots are required to wear emergency parachutes on every skydiving flight.<sup>35</sup> The operator had given the pilot ground-based training, but did not require its pilots to complete actual parachute descents. The pilot had not parachuted previously.
- 4.4.5. The pilot could not recall having inspected the emergency parachute before putting it on that morning. He later said that he had never performed a pre-flight inspection of the parachute himself. The maintenance record for the parachute was found to be in order.<sup>36</sup>
- 4.4.6. The operator did not equip its pilots with lifejackets and was not required to do so, because its flights from Taupō that used the Taupō aerodrome parachute landing area remained within gliding distance of land at all times.<sup>37</sup> This was a safety issue, because the scenario downplayed the possibility of a pilot having to make a ditching, or bale out and drift to a water landing. In this case it was fortuitous that none of the parachutists or the pilot landed in the lake. A recommendation was made to the operator to investigate options for providing its drop pilots with flotation devices.
- 4.4.7. Pilots are trained to put their aeroplanes into a gliding descent if they have to make a forced landing. The pilot, with minimal experience of the 750XL and parachuting operations, determined that he could not reach a suitable area on land. Although ditching in the lake was an option, he was aware that the flight manual warned that the aeroplane's ditching characteristics were unknown. Therefore he chose to abandon the aeroplane.
- 4.4.8. The operator trained its pilots to bale out of the cabin rear door after others had gone, and to pull the emergency parachute ripcord when clear of the aeroplane. However, it was acknowledged that not all emergency situations were foreseeable, and a pilot might have to bale out in a manner contrary to their training.
- 4.4.9. The pilot did not intend to bale out before the last of the parachutists. However, by doing so he left the aeroplane uncontrolled, and it began to roll and dive shortly afterwards. If anyone still on board had had difficulty exiting the aeroplane, their survivability could have been jeopardised by the aeroplane's rapidly changing attitude. In this case the proximity to people and property also meant that the unoccupied aeroplane was a threat.
- 4.4.10. The operator suggested that it was likely that the pilot did not see in the cockpit mirror that there were people in the cabin, because the dark-coloured jumpsuits they were wearing merged with the dark cabin rear bulkhead. The operator took action to improve the colour contrast between the cabin rear bulkhead and the jumpsuits.
- 4.4.11. By baling out through the cockpit door before everyone else had left the aeroplane, the pilot risked a collision or entanglement with the last tandem pair who exited from the rear door. He also risked colliding with the tail plane because he did not wait until he was clear of the aeroplane to deploy his parachute. Either mishap could have led to serious or fatal injuries. If he had exited through the cabin rear door he would have been sure that he was the last to leave and would not have put others at risk.

#### Training of parachute drop pilots for emergencies

4.4.12. The chief pilot and chief parachute instructor were jointly responsible for training drop pilots in the use of emergency parachutes and in emergency procedures. The pilot's training record, signed by the instructor and counter-signed by the pilot, indicated that this comprehensive training had been done. However, his hasty exit through the cockpit door

<sup>&</sup>lt;sup>35</sup> Passengers on a skydiving flight who are not engaged in a parachute operation are also required to wear emergency parachutes.

<sup>&</sup>lt;sup>36</sup> Civil Aviation Rules required maintenance records for parachutes, as they are a type of aircraft.

<sup>&</sup>lt;sup>37</sup> As permitted by Civil Aviation Rule 91.525.

before everyone else had baled out, and his immediate deployment of the parachute, were contrary to what he had been instructed.

- 4.4.13. The pilot's reaction was understandable given that the engine failure happened on his first day of solo flying in the role and at a relatively low height. However, his training had been completed so recently that the correct procedures, if they had been thoroughly understood, should have been fresh in his mind.
- 4.4.14. Civil Aviation Rule 91.705 made drop pilots responsible for ensuring that each person carried in their aircraft, other than a person intending to make a parachute descent, was trained in the use of their emergency parachute and was briefed on the method to be used for exiting the aircraft (see Appendix 2). Rule 91.707 implied that a pilot was responsible for ensuring that their emergency parachute (and any other emergency parachutes carried) were serviceable, at the least by inspecting the parachute packing card.
- 4.4.15. In order to be able to comply with these Rule requirements, parachute drop pilots must have a thorough understanding of the emergency procedures applicable to their aircraft. Training in emergency procedures typically requires rote learning so that one's response to an emergency is instinctive and correct. The pilot's response to the engine failure, along with his later comment that he had never personally inspected the emergency parachute, indicated that he had not fully understood the procedures. Therefore it was likely that his emergency training had not been adequate.
- 4.4.16. The operator advised that, since the accident, it had added a voluntary tandem jump to the parachute drop pilot training, and include a group training session with tandem masters as part of the annual competency testing for pilots.

#### Findings

- 7. The operator had not equipped its pilots with flotation devices to cover the possibility of a ditching or an emergency bale-out over or near water.
- 8. The pilot had demonstrated that he was competent and he had the required ratings. However, it was likely that the operator's training of the pilot in emergency procedures was inadequate. This contributed to the pilot making a hasty exit from the aeroplane that jeopardised others.

## 5. Findings

- 5.1. The first compressor turbine blade failed after a fatigue crack, which had begun at the trailing edge, propagated towards the leading edge. The blade finally fractured in tensile overload. The separated blade fragment caused other blades to fracture and the engine to stop.
- 5.2. The fatigue crack in the trailing edge of the blade was likely initiated by the trailing edge radius having been below the specification for a new blade.
- 5.3. The P&WC Repair Requirement Document 725009-SRR-001, at the time the blades were overhauled, had generic requirements for trailing edge thickness inspections but did not specify a minimum measurement for the trailing edge radius.
- 5.4. The higher engine power settings used by the operator since August 2014 were within the flight manual limits. Therefore it was unlikely that the operator's engine handling policy contributed to the engine failure.
- 5.5. The operator had maintained the engine in accordance with an approved, alternative maintenance programme, but the registration of the engine into that programme had not been completed. The administrative oversight did not affect the reliability of the engine or contribute to the blade failure.
- 5.6. It was likely that the maintenance provider had not followed fully the engine manufacturer's recommended procedure for inspecting the compressor turbine blades. It could not be determined whether the crack might have been present, and potentially detectable, at the most recent borescope inspection.
- 5.7. The operator had not equipped its pilots with flotation devices to cover the possibility of a ditching or an emergency bale-out over or near water.
- 5.8. The pilot had demonstrated that he was competent and he had the required ratings. However, it was likely that the operator's training of the pilot in emergency procedures was inadequate. This contributed to the pilot making a hasty exit from the aeroplane that jeopardised others.

## 6. Safety actions

General

- 6.1. The Commission classifies safety actions by two types:
  - (a) safety actions taken by the regulator or an operator to address safety issues identified by the Commission during an inquiry that would otherwise result in the Commission issuing a recommendation
  - (b) safety actions taken by the regulator or an operator to address other safety issues that would not normally result in the Commission issuing a recommendation.

Safety actions addressing safety issues identified during an inquiry

- 6.2. Following the accident, the operator painted the rear bulkheads of its aeroplanes white, which allowed pilots to see cabin occupants more easily in the cockpit rear-view mirror.
- 6.3. To improve the preparedness of drop pilots for an emergency parachute descent, the operator has since added a voluntary tandem jump to the parachute drop pilot training. A group training session with tandem masters is also included in the annual competency testing for pilots.
- 6.4. The operator now provides lifejackets for its tandem masters.
- 6.5. On 5 January 2016 P&WC advised that it had issued Revision 24 to the Repair Requirement Document 725009-SRR-001, for all PT6 engines, to reflect the dimension requirements of new compressor turbine blades.

Safety actions addressing other safety issues

6.6. On 9 February 2017 P&WC advised that, as a result of the 750XL/PT6A-34 mission profile review showing that skydiving operators were, on average, using higher power settings for longer durations, the PT6A engine maintenance manual would be revised to require enhanced inspections of all single-engine applications in the skydiving role. The revision was expected to be published in April 2017.

# 7. Recommendation

#### General

- 7.1. The Commission may issue, or give notice of, recommendations to any person or organisation that it considers the most appropriate to address the identified safety issues, depending on whether these safety issues are applicable to a single operator only or to the wider transport sector. As a result of this inquiry, the Commission made a recommendation to Skydive Taupo.
- 7.2. In the interests of transport safety, it is important that the recommendation be implemented without delay to help prevent similar accidents or incidents occurring in the future.

#### Recommendations

- 7.3. Skydive Taupo did not equip its parachute drop pilots with lifejackets and was not required to do so, because its flights from Taupō aerodrome, which used the Taupō aerodrome parachute landing area, remained within gliding distance of land at all times. This accident illustrated how that scenario downplayed the possibility of a pilot having to ditch an aeroplane, or bale out and drift to a water landing.
- 7.4. Therefore the Commission is recommending that the Chief Executive of Skydive Taupo investigate options for equipping their parachute drop pilots with effective flotation devices. (018/17)
- 7.5. On 11 July 2017, the Chief Executive Officer of Skydive Taupo replied, in part:

I confirm I intend to implement the final recommendation in relation to equipping drop pilots with an effective flotation device. A Quality Action Request has been raised through the Skydive Taupo Ltd Quality Assurance system to address this...Once the suitable flotation equipment has been confirmed and made fit for purpose, pilot training will be introduced and conducted regarding water landing procedures. The recommendation will be fully implemented by the 31<sup>st</sup> of August 2017.

## 8. Key lessons

- 8.1. Operators and pilots engaged in skydiving operations should assess carefully the risk of having to use an emergency parachute or landing in water, and ensure that they have the appropriate training and equipment. Pilots must have a thorough understanding of the care and use of emergency parachutes, as their lives depend on them.
- 8.2. Aircraft maintenance engineers should not commence any task related to airworthiness without ensuring that they have the current procedure and information necessary for the task.
- 8.3. Operators and maintenance providers should ensure that they comply fully with any registration or other requirements of approved STCs.

# 9. Citations

MORE Company Inc. (2004). Maintenance on Reliable Engines. Minden, Nevada: MORE Company Inc.

- Pratt & Whitney Canada. (2015). *Engine/Component Investigation Report* 15SIE00006. Montreal: Pratt & Whitney Canada.
- Pacific Aerospace Limited. (2003). *Pilot's Operating Handbook and Approved Flight Manual.* Hamilton, New Zealand: Pacific Aerospace Limited.

# Appendix 1: P&WC information on engine over-temperature events

- 1. In 2004 P&WC issued Service Information Letter PT6A-125 outlining the detrimental effects of engine over-temperature events. The letter described compressor turbine blade 'creep'<sup>38</sup> and gave recommendations for better engine handling, including the recovery action that pilots should take in the event of an over-temperature.
- 2. In 2010 P&WC issued Service Information Letter GEN PT6A-028, applicable to all its turbine engines. This letter explained that an engine operated beyond the recommended power limits would experience hot section distress. This would accelerate the deterioration of power margins<sup>39</sup> and reduce the engine reliability and durability.
- 3. In 2011 P&WC issued Service Bulletin 1690 to introduce 'single-crystal'<sup>40</sup> compressor turbine blades as an improvement to PT6A-34-series engines.
- 4. In 2013 P&WC issued Service Information Letter PT6A-146R1 to further draw attention to concerns relating to compressor turbine blade maintenance, engine handling and hot section distress.
- 5. In 2014 P&WC issued Service Information Letter GEN PT6A-219 describing how single-crystal compressor turbine blades had fractured before delivery. It recommended reverting to an older standard of blade or conducting borescope inspections at specified intervals. Service Bulletin 1742 followed shortly afterwards, requiring the replacement of single-crystal blades with non-single-crystal blades.
- 6. In 2015 Service Bulletin 1767 introduced an improved single-crystal compressor turbine blade.
- 7. On 11 November 2016 P&WC issued Service Information Letter PT6A-250 outlining the benefits of single-crystal compressor turbine blades as per Service Bulletin 1767. The letter explained that single-crystal compressor turbine blades offered a number of advantages, including enhanced tolerance to creep and reduced maintenance due to exemptions from certain inspections.

<sup>&</sup>lt;sup>38</sup> Creep is the detrimental effect on material properties, which is dependent on the material and the following parameters: time, temperature and stress. An increase in temperature or stress can result in a decreased time before creep occurs.

<sup>&</sup>lt;sup>39</sup> The margin is the difference between an engine parameter value (for example, inter-turbine temperature) that is required to achieve a specified power output under certain conditions and the maximum permitted value of that parameter. As the engine components wear, and under more adverse conditions, the margin decreases. <sup>40</sup> Blades manufactured with a material and design with increased resistance to heat stress and creep.

#### 91.705 Parachute-drop operations

(a) A pilot-in-command of an aircraft performing a parachute-drop operation must hold a parachute-drop rating issued by the Director under the Act and Part 61...

(c) A pilot-in-command of an aircraft performing a parachute-drop operation must ensure that-

(1) each person carried in the aircraft, other than a person intending to make a parachute descent, —

(i) occupies a seat and fastens his or her safety belt during take-off and landing; and

(ii) wears an emergency or reserve parachute assembly; and

(iii) is trained in the use of the emergency or reserve parachute assembly; and

(iv) is briefed on the general procedures to be followed in an aircraft emergency including the method to be used for exiting the aircraft; and

(2) each person carried in the aircraft who intends to make a parachute descent —

(i) is not in a position in the aircraft that could hazard the safety of the operation or the aircraft occupants through inadvertent interference with the controls; and

(ii) is briefed on the general procedures to be followed in an aircraft emergency including the method to be used for exiting the aircraft.

#### 91.707 Emergency parachute assemblies

A pilot-in-command of an aircraft must not allow a parachute assembly that is available for emergency use to be carried in the aircraft unless the parachute assembly—

(1) meets the requirements of Appendix A.25; and

(2) has been adequately protected from damage from any condition or substance that may be harmful to the materials from which the parachute assembly has been constructed; and

(3) has been maintained in accordance with the manufacturer's instructions and packed within the preceding calendar year by—

(i) the holder of a parachute technician rating issued by a parachute organisation; or

(ii) the parachute manufacturer; or

(iii) a New Zealand Defence Force parachute technician; or

(iv) a person otherwise approved by the Director; and

(4) is accompanied by a packing card containing certification of serviceability by the person who maintained or packed the parachute.



#### Recent Aviation Occurrence Reports published by the Transport Accident Investigation Commission (most recent at top of list)

- AO-2013-010 Aérospatiale AS350B2 'Squirrel', ZK-IMJ, collision with parked helicopter, near Mount Tyndall, Otago, 28 October 2013
- Addendum to final Mast bump and in-flight break-up, Robinson R44, ZK-IPY, Lochy River, near report Queenstown, 19 February 2015 AO-2015-002
- Interim Report Collision with terrain, Eurocopter AS350-BA, ZK-HKW, Port Hills, Christchurch, 14 AO-2017-001 February 2017
- AO-2013-011 Runway excursion, British Aerospace Jetstream 32, ZK-VAH, Auckland Airport, 2 November 2013
- AO-2014-006 Robinson R44 II, ZK-HBQ, mast-bump and in-flight break-up, Kahurangi National Park, 7 October 2014
- Interim Report<br/>AO-2016-007Collision with terrain, Robinson R44, ZK-HTH, Glenbervie Forest, Northland, 31<br/>October 2016
- AO-2014-004 Piper PA32-300, ZK-DOJ, Collision with terrain, Near Poolburn Reservoir, Central Otago, 5 August 2014
- AO-2015-002 Mast bump and in-flight break-up, Robinson R44, ZK-IPY, Lochy River, near Queenstown, 19 February 2015
- AO-2013-008 Boeing 737-300, ZK-NGI, Loss of cabin pressure, near Raglan, Waikato, 30 August 2013
- AO-2013-003 Robinson R66, ZK-IHU, Mast bump and in-flight break-up, Kaweka Range, 9 March 2013
- AO-2014-002 Kawasaki BK117 B-2, ZK-HJC, Double engine power loss, Near Springston, Canterbury, 5 May 2014
- A0-2013-006 Misaligned take-off at night, Airbus A340, CC-CQF, Auckland Airport, 18 May 2013
- AO-2010-009 Addendum to Final Report: Walter Fletcher FU24, ZK-EUF, loss of control on take-off and impact with terrain, Fox Glacier aerodrome, South Westland, 4 September 2010
- AO-2012-002 Airbus A320 ZK-OJQ, Bird strike and subsequent engine failure, Wellington and Auckland International Airports, 20 June 2012
- AO-2013-005 In-flight loss of control, Robinson R22, ZK-HIE, near New Plymouth, 30 March 2013